

# Newsletter

No. 163 | Spring 2020

Handling model bias in data  
assimilation

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Quintic vertical interpolation  
improves forecasts in stratosphere

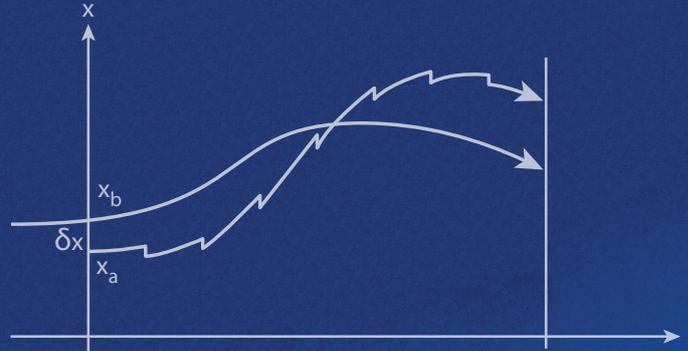
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Improved product dissemination  
system

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ECMWF's next supercomputer

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stratosphere  
troposphere

1–4 September 2020

# Annual Seminar 2020

Numerical methods for  
atmospheric and oceanic  
modelling: recent advances  
and future prospects

#AS2020



<https://www.ecmwf.int/en/learning/workshops/annual-seminar-2020>

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The ECMWF Newsletter is published quarterly. Its purpose is to make users of ECMWF products, collaborators with ECMWF and the wider meteorological community aware of new developments at ECMWF and the use that can be made of ECMWF products. Most articles are prepared by staff at ECMWF, but articles are also welcome from people working elsewhere, especially those

from Member States and Co-operating States. The ECMWF Newsletter is not peer-reviewed.

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## ECMWF and COVID-19

The COVID-19 pandemic has changed life dramatically for people and organisations across the world, and ECMWF is no exception. Since February, ECMWF staff based in Italy have been working remotely, and in March most staff at our headquarters in Reading, UK, followed suit. For personnel whose physical presence is required in the building, measures have been put in place to ensure the highest possible level of protection. Recent training courses and workshops have been delivered remotely, and this will continue to be the case until further notice.

Our mission-critical activities are unaffected, and our data continue to be made available to users in our Member and Co-operating States and beyond in the usual way. The provision of effective remote working tools by our IT support staff means that our research and development activities too are continuing apace. This is hugely important to make sure that we continue to improve our Integrated Forecasting System in line with our strategic goals. The next IFS upgrade is scheduled to be implemented on 30 June. Some of the changes in IFS Cycle 47r1 are set out in this Newsletter: a new version of weak-constraint 4D-Var to better handle model bias in data assimilation; quintic vertical interpolation to reduce temperature biases in the stratosphere; and continuous long-window data assimilation to make the most of the weather observations available to us. This is possibly the last IFS upgrade before the installation of our new High-Performance Computing Facility in Bologna, Italy. As described in this Newsletter, the new HPCF will deliver about five times the performance of our current system. The resulting increase in computing power is a crucial element

on the path towards achieving goals such as making skilful ensemble predictions of high-impact weather up to two weeks ahead.

The COVID-19 pandemic is not only having an impact on ECMWF as an organisation. The measures taken to contain it have led to a marked drop in the availability of some types of Earth system observations, especially aircraft-based observations. Such observations help us to accurately determine the state of the Earth system at the start of forecasts. Studies carried out at ECMWF have shown that, without aircraft-based observations, the quality of forecasts at cruising altitude can drop by up to 15%. The quality of near-surface weather predictions can also go down, by up to about 3%.

COVID-19 highlights the importance of making the World Meteorological Organization Integrated Global Observing System (WIGOS) as resilient as possible. European national meteorological services are helping by launching additional radiosondes. In separate developments reported in this Newsletter, ECMWF has begun to operationally assimilate wind observations from the European Space Agency's Aeolus satellite and radio occultation measurements from the Taiwanese-US FORMOSAT-7/COSMIC-2 mission. All these data will help to mitigate some of the impact of the current loss of aircraft data.

**Florence Rabier**  
Director-General



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# Forecasting February’s wet and stormy weather in parts of Europe

Linus Magnusson, Laura Ferranti, David Lavers, Fredrik Wetterhall

February 2020 was dominated by a strong westerly flow over northwest Europe. Several severe cyclones affected the region. These include storm Ciara on 8–9 February and storm Dennis on 15–16 February (as named by Met Éireann and the UK Met Office), and further systems on 22–23 February and 28–29 February. The cyclones brought strong winds and heavy rainfall leading to extreme precipitation accumulations over the month. New monthly precipitation records were set in England and Wales, Denmark and parts of southern Sweden, and there was some flooding in those countries. In this article we will focus on various aspects of the predictions for storm Dennis.

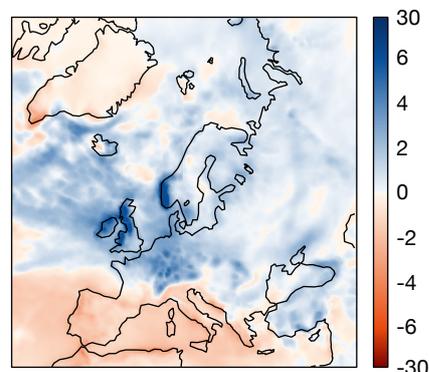
## Main features of Dennis

Dennis originated from a wave that appeared on 13 February along the northeast US and southeast Canada while there was an intense extratropical cyclone in the North Atlantic, which brought hurricane force winds to Iceland. This wave developed into an extremely rapidly intensifying cyclone, which replaced the previous system in the North Atlantic. Dennis’s remarkable development was likely favoured by the baroclinic environment associated with the remnants of the previous system. Cyclone Dennis formed over the central North Atlantic

on 15 February. During its deepest stage, it was located to the south of Iceland with a central pressure below 920 hPa on 16 February 00 UTC. This makes it one of the most intense extratropical cyclones on record. During its mature stage, it grew bigger than the European continent, dominating the weather across the North Atlantic and Europe. Dennis pushed severe weather conditions into western Europe and moved a very warm air mass further east. Although the cyclone centre was located far from the western areas of Britain, Dennis brought extreme precipitation to those areas and later to southern Scandinavia. In Wales and central England, several villages were flooded after the passage of the cyclone.

## Atmospheric river forecast

The extreme precipitation events during Ciara and Dennis were associated with intense advection of water vapour from across the North Atlantic in the warm sector of the cyclones. This feature is often referred to as an atmospheric river. Atmospheric rivers are known to cause extreme rainfall, especially when forced to rise over orography. At longer lead times, they are in general more predictable than precipitation. That is why ECMWF has recently begun to produce an Extreme Forecast Index (EFI) for the vertically-integrated water



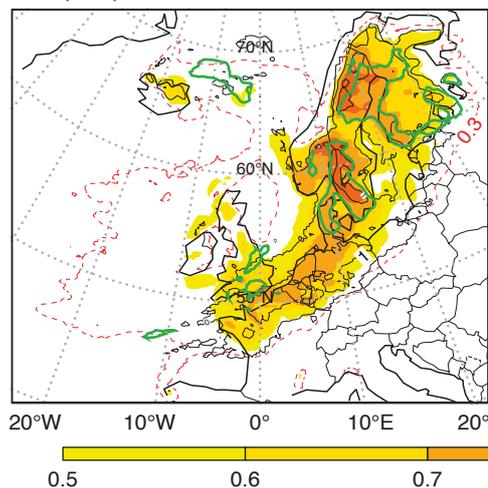
**Precipitation anomalies for February 2020.** The plot shows average daily precipitation anomalies in February 2020 (mm/day) relative to the reference period 1981–2010, as provided by the Copernicus Climate Change Service implemented by ECMWF, based on ERA5 reanalysis data.

vapour flux. For both storms, ECMWF’s ensemble forecasts captured the risk of heavy precipitation 7 days in advance. Initial findings suggest that the EFI signal for water vapour flux towards northwest Europe was stronger and appeared earlier than the EFI signal for precipitation. This added confidence and process understanding to the precipitation forecast.

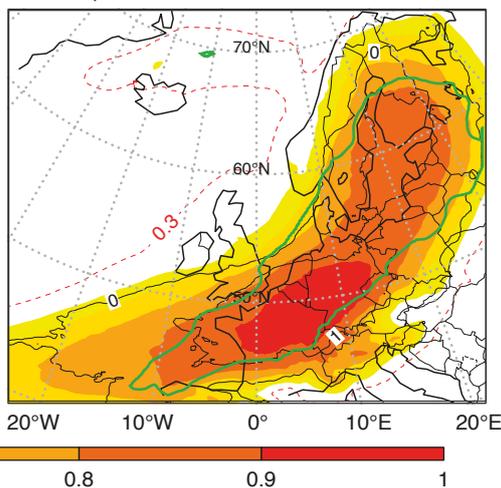
## Extended-range predictions

The strong cyclonic activity over the North Atlantic was associated with a strong positive phase of the North-

Total precipitation

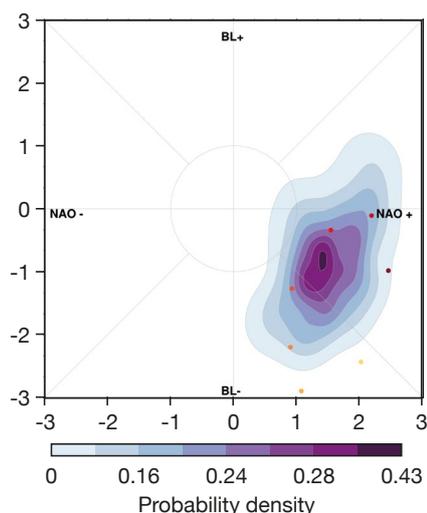


Water vapour flux



## Extreme Forecast Index maps for storm Dennis.

The maps show the Extreme Forecast Index (EFI, shading) and Shift of Tails (SOT, contours) for total precipitation (left) and vertically-integrated water vapour flux (right) during storm Dennis valid on 16 February in day 7 forecasts. The green lines indicate areas where EFI values were larger than 0.9 in day 1 forecasts.



### Weather regime outlook for the northeast Atlantic.

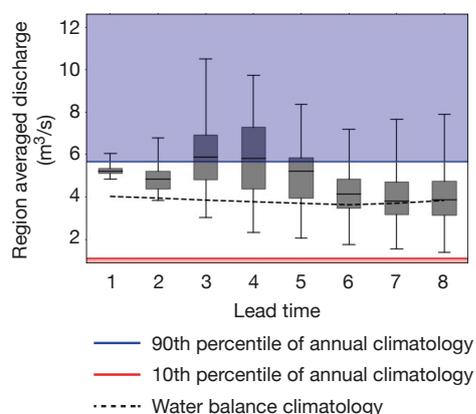
The plot shows the NAO-Blocking weather regime probability density function for an ensemble forecast starting on 30 January 2020 for the week from 10 to 16 February. Daily values of the verifying analysis are represented by dots: yellow (first day) to brown (last day of the target period).

Atlantic Oscillation (NAO). An NAO+ signal for the week from 10 to 16 February was present in the extended-range forecast from 23 January and became stronger in the forecast from 30 January. The predicted flow-regime anomaly is visualised in our new two-dimensional plot of NAO-Blocking regime phases for the northeast Atlantic (see Newsletter No. 158). Although this product was originally designed to give early warnings about cold spells, it also highlights the likelihood of a westerly flow across the Atlantic with warm and wet weather over western

Europe. The 30 January flow-regime anomaly forecast was shifted towards a combination of NAO+ and a trough over Scandinavia (Blocking-). The verifying analysis was in the same region of the diagram but somewhat more extreme.

### Flooding in Sweden

During February 2019, parts of southern Sweden saw record precipitation with more than four times the normal monthly total. The situation was made more severe by the fact that almost all precipitation fell as rain instead of snow due to the anomalously mild weather. From a hydrological perspective, although the rivers in the region are relatively small, the large storage capacity in many lakes makes them respond relatively slowly. However, at the end of February the situation became very difficult, with rivers and lakes close to bursting their banks. The Copernicus Emergency Management Service (CEMS) has recently begun to produce regional river discharge



forecasts as part of the European Flood Awareness System (EFAS) based on ECMWF extended-range forecasts. As discussed above, as early as the end of January a wet signal appeared in atmospheric forecasts for the middle of February. This signal translated into a high river discharge anomaly for southeast Sweden in the EFAS extended-range forecasts from 30 January for a lead time of 3–4 weeks ahead.

### Summary

A period of high cyclonic activity over northwest Europe can bring forecasting challenges on various aspects and timescales. In this article, we have shown how several recently added products could help users to interpret the forecast information at different lead times. Early signals of the potential for severe weather were seen in the large-scale flow patterns three weeks ahead, while more information about the scale and intensity of the event were available as the event approached.

### Regional river discharge prediction for southeast Sweden.

The plot shows the area average river discharge prediction for southeast Sweden in the Copernicus Emergency Management Service (CEMS) forecast from 30 January. According to the ensemble median, average discharge was predicted to be above the 90th percentile in weeks three and four. The analysed outcome was that the discharge peaked just below 10 m<sup>3</sup>/s at the end of February (week five in the plot).

### Feedback from Member States

“At DMI (Danish Meteorological Institute), warnings for strong wind and storms are normally first issued about 36 hours before the event is expected, while a pre-warning is issued up to 5 days ahead. This winter in Denmark has been rather windy, and the ECMWF/IFS model has been very useful when deciding if a pre-warning should be issued. However, we sometimes found that gusts from the Integrated Forecasting System (IFS) seemed too high, especially in warm sectors ahead of approaching cold fronts, based on experience of measured gusts in past similar weather situations over Denmark. There was a particular case on 9 February when the IFS predicted gusts of 30–36 m/s widespread over Denmark while the typical measured values were gusts of 20–25 m/s. However, locally in the western part of Denmark 30–36 m/s was

measured.” (*Jesper Eriksen, Forecaster, DMI*)

“Iceland got hit with a ~930 mb low on 14 February. The skill of the ECMWF forecast was extremely impressive for this cyclone, the +84 hours ensemble had all members agreeing on the position and depth. But even before that the low was very consistent in the majority of members and the deterministic forecast. For the conditions on Friday, we were able to issue the first yellow warnings on Tuesday. Warnings became orange on Wednesday and upgraded to red on Thursday for some regions, giving all stakeholders a long lead time to prepare. Winds were locally over 30 m/s in the mean with gusts of up to 60 m/s. Damage to infrastructure was quite substantial but no fatalities.” (*Elín Björk Jónasdóttir, Group leader of weather services, Icelandic Meteorological Office*)

# Use of Aeolus observations at ECMWF

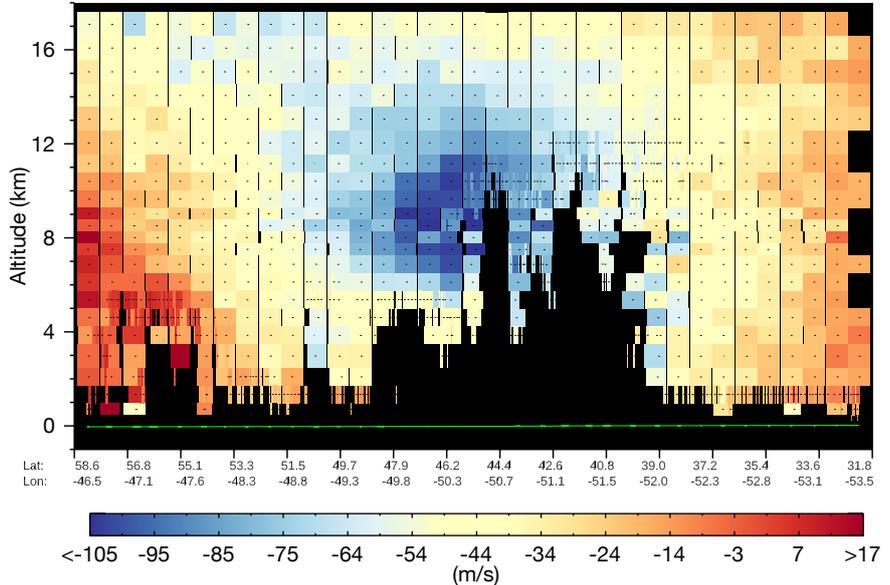
Michael Rennie, Lars Isaksen

ECMWF started to assimilate wind observations from the European Space Agency's (ESA) pioneering Doppler wind lidar mission Aeolus on 9 January 2020, 16 months after the first wind profiles became available. ECMWF was the first numerical weather prediction (NWP) centre to go operational with Aeolus. This was possible due to the strong collaboration between ESA and the Aeolus Data Innovation and Science Cluster (Aeolus DISC), which includes the German Aerospace Center (DLR), the software company DoRIT, ECMWF, ESA, the Royal Netherlands Meteorological Institute (KNMI), and Météo-France. ECMWF was able to benefit from the data quickly because of its strong involvement with the mission since its conception in the 1980s and its selection as an ESA Earth Explorer Mission in 1998.

Aeolus is carrying the world's first functioning space-based Doppler wind lidar and Europe's first space-based lidar. The aim of the mission is to demonstrate this new technology in space for the benefit of weather forecasting and to improve the understanding of atmospheric dynamics, especially in the tropics. The mission is designed to last for at least three years.

## The path to assimilation

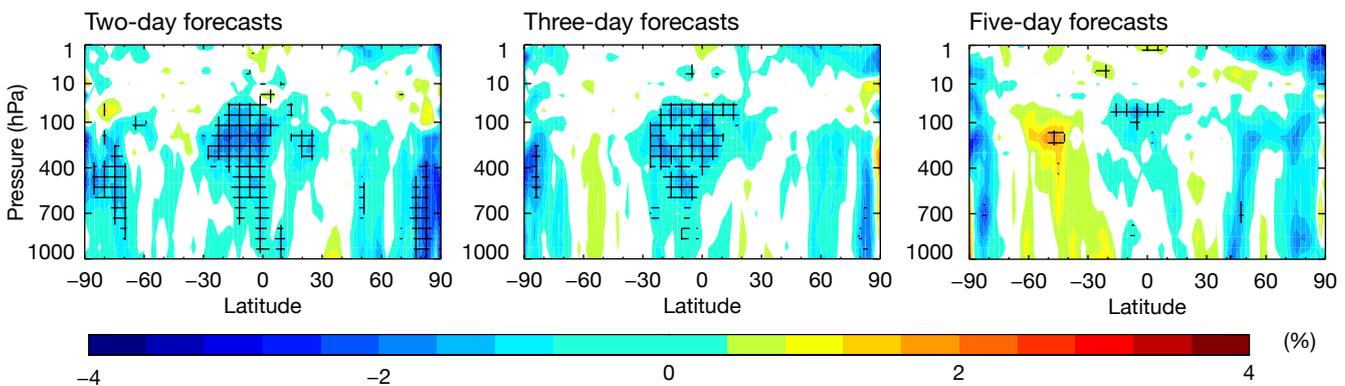
Within a few weeks after the launch



**Aeolus wind profiles.** The plot shows the Aeolus Level-2B Rayleigh-clear and Mie-cloudy horizontal line-of-sight wind speed profiles on 14 February 2020 over the North Atlantic. The blue area highlights the location of a jet streak with wind speeds up to about 100 m/s. Around this period, some records were broken for the speed of transatlantic flights due to the strength of the jet stream.

of Aeolus on 22 August 2018, we were able to demonstrate the Doppler wind lidar's ability to measure vertical profiles of horizontal line-of-sight winds from space, as seen in the first figure. But at that point it was still unclear whether the observations were of sufficient quality to improve operational weather forecasts.

Experimentation at ECMWF and several other NWP centres has since demonstrated positive impact on global weather forecasts from the assimilation of Aeolus data. This is despite the noise levels being significantly larger than pre-launch expectations, due to a number of issues with the novel Doppler wind lidar technology. ECMWF has played



**Impact on forecasts.** Example of the impact of Aeolus Rayleigh-clear and Mie-cloudy winds on 2-, 3- and 5-day forecasts for the period 2 August to 31 December 2019. The figure shows the relative change in root-mean-square (RMS) error of the vector wind forecast with the assimilation of Aeolus data compared to without it, verified against operational analyses (Aeolus was not assimilated operationally in this period). The hatching shows statistical significance at the 95% confidence level. Blue colours indicate an improvement due to Aeolus. The impact is strongest in the tropics and near the poles.

a major role in the DISC team, who have improved the quality of the Aeolus winds since the launch and now have a good understanding of wind bias issues. A series of research experiments in which Aeolus wind data were assimilated has been performed at ECMWF over the last 12 months. After several refinements in how the data were assimilated, the results were so promising that Aeolus was assimilated operationally from 9 January 2020.

### Impact

Observing system experiments with Aeolus show that it improves ECMWF's global forecasts of wind, temperature and humidity, with the largest impacts in the tropics and in polar regions (see the second figure). The good impact is also seen in measures such as short-range forecast improvements relative to other observation types and in the FSOI (Forecast Sensitivity to Observation Impact) metric.

The operational assimilation currently relies on a bias correction that assumes the model is bias free. However, this assumption is expected to be significantly relaxed very soon when the next generation of ground processing software for the horizontal line-of-sight winds is implemented in ESA's ground processing environment. ECMWF plays a key role in ground processing as it produces the near-real-time Level-2B wind products. Thanks to careful investigations, in which ECMWF model winds were used as a reference, the Aeolus wind biases were found to be strongly correlated with the instrument's main telescope temperature, which varies slightly with the Earth's top of atmosphere radiation. The telescope temperatures are fortunately available in real time. A bias correction scheme using these temperatures as predictors has been developed.

The impact of Aeolus on forecasts is very good for a single instrument on a single satellite – it compares well with

some well-established passive sounding instruments in FSOI statistics. Most of the positive impact of Aeolus so far is due to the clear-air Rayleigh winds, primarily in the tropics. However, recent tests of winds from cloudy areas (Mie winds) with more appropriate observation error modelling (accounting for representativeness error) are providing a larger impact in the extratropics than before.

### Outlook

It is unclear for how long Aeolus will provide sufficiently strong atmospheric signal levels to maintain the level of positive impact that was demonstrated in July–December 2019, but ESA and its partners are still learning about the instrument's behaviour in space and are working hard to increase the data quality and its useful lifetime. Aeolus was designed in the 1990s, so there are many aspects of the technology that could be improved in a possible future operational mission.

## ECMWF starts assimilating COSMIC-2 data

Sean Healy

ECMWF started assimilating GNSS radio occultation (GNSS-RO) measurements from the FORMOSAT-7/COSMIC-2 mission (COSMIC-2 hereafter) on 25 March 2020. This joint Taiwan–United States mission is a constellation of six satellites, providing around 5,000 occultations per day between  $\pm 40$  degrees latitude. The satellites were launched on 25 June 2019 and declared operational on 25 February 2020, and the data became available on the Global Telecommunication System on 16 March 2020.

COSMIC-2 represents a significant

increase in the number occultations available for operational assimilation at ECMWF, from around 3,000 per day (of which around 1,800 come from EUMETSAT's Metop satellites) to around 8,000. These new measurements have a large impact in the tropics, improving temperature, humidity and wind forecasts in the short and medium range.

### The COSMIC-2 mission

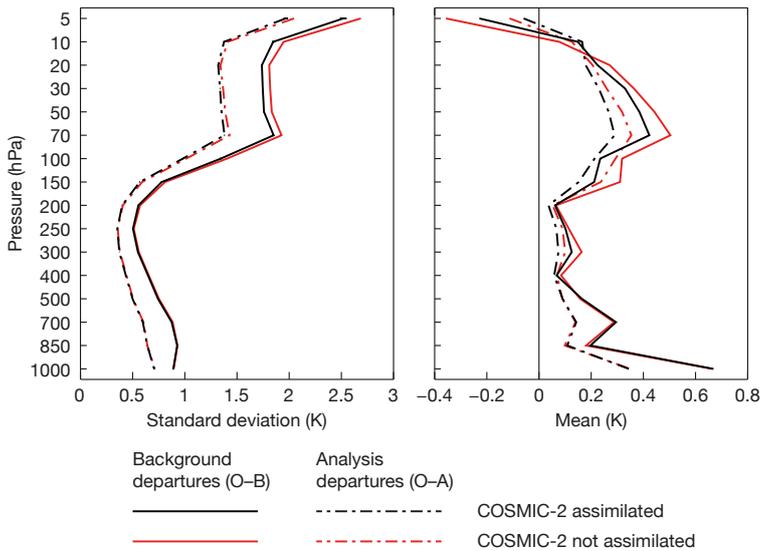
COSMIC-2 is the successor to the FORMOSAT-3/COSMIC mission, which has provided operational GNSS-RO data since 2006. The COSMIC-2 data is

processed in parallel by the University Corporation for Atmospheric Research (UCAR) and Taiwan's National Space Organization (NSPO). A key aim of this new mission has been to improve the GNSS-RO measurement quality and penetration depth in the tropical troposphere, through a combination of a new advanced receiver design and an improved antenna. COSMIC-2 provides occultation measurements using both US GPS signals and Russian GLONASS signals, increasing the number of observations provided per satellite. This is the first routine provision of GLONASS GNSS-RO measurements for operational numerical weather prediction (NWP) applications.

Early COSMIC-2 results were presented by UCAR and NSPO at the EUMETSAT ROM SAF IROWG workshop in September 2019 (see <https://www.romsaf.org/romsaf-irowg-2019/en/>). For example, it was demonstrated that measurements made with the GPS and

### What are radio occultation data?

Radio occultation data are obtained by sending signals from the Global Navigation Satellite System (GNSS) to other satellites. The path of such signals crossing the atmosphere is bent as a result of refraction, and the bending angle provides information on temperature and humidity. GNSS includes the US GPS (Global Positioning System) and the Russian GLONASS (GLObal NAVigation Satellite System).



**Better fit of short-range forecasts (background) and analyses to observations.** The charts show the observation-minus-background (O-B) and observation-minus-analysis (O-A) departure statistics (standard deviation and mean) as a function of pressure for radiosonde temperature measurements in the tropics. Values closer to zero mean a better fit of short-range forecasts and analyses to observations. The statistics were computed for the period 28 September 2019 to 25 March 2020. The improvements in the standard deviations of the observation-minus-background departures are statistically significant down to the 700 hPa level.

GLONASS GNSS systems were of similar quality below 35 km. The differences above this height were relatively small, when compared with assumed error statistics used to assimilate GNSS-RO data.

**Use of COSMIC-2 at ECMWF**

UCAR made the first COSMIC-2 non-operational data available in October 2019, initially for technical testing in NWP systems. As more data became available, they were used at ECMWF for extended impact experiments.

ECMWF has initially adopted a conservative approach to COSMIC-2 by assimilating the data in the same way as is done for other operational GNSS-RO missions. This produced good results in experiments from late September 2019 to March 2020. It is a sign of improvement when the short-range forecast is closer to data from other

observing systems. Such improvements are found for almost all in-situ and satellite observation types in the tropics (see the figure for an example). Perhaps most significantly, there is a clear and consistent impact on the forecast fit to a range of observing systems that are sensitive to tropospheric water vapour.

Medium-range forecast scores are also promising. We obtain large forecast improvements for tropical stratospheric zonal (latitudinal) winds. The impact is statistically significant out to 10 days, verified against observations. In the northern hemisphere extratropics, the reduction in geopotential height forecast errors from the 500 hPa level and above is statistically significant out to 3–4 days. There are also global improvements in stratospheric geopotential height and temperature biases.

**Summary and outlook**

The COSMIC-2 mission represents a

large increase in the GNSS-RO data available for operational NWP applications. First ECMWF impact experiments with this data have been extremely promising, and they led to the operational implementation on 25 March 2020. The impact of COSMIC-2 on the forecast fit to observing systems sensitive to tropospheric water vapour represents an important step forward for GNSS-RO.

The use of COSMIC-2 is still quite conservative, and ECMWF will try to improve on the exploitation of this data in the future. We will test extending the use of the data higher into the mesosphere. In addition, following promising work conducted recently at the UK Met Office, we will investigate the use of observation uncertainty estimates that differ for the various GNSS-RO datasets.

*We thank UCAR and NSPO for making the COSMIC-2 data available for pre-operational testing. We also thank Dr Ben Ruston (Naval Research Laboratory, Monterey) for sharing his operational results with COSMIC-2 data.*

**AI and machine learning at ECMWF**

Peter Düben

ECMWF is currently making a significant effort to support applications of artificial intelligence and machine learning and to identify how such applications may improve numerical weather prediction at the Centre. Many standard methods used by ECMWF scientists on a daily basis can be regarded as examples of

machine learning. However, there has recently been a surge in new methods which have the potential to revolutionise the work of operational weather prediction centres. Such methods include the use of deep neural networks, which can learn the dynamics of very complex non-linear systems from data.

**State of machine learning research at ECMWF**

In January 2020, an internal workshop took place at ECMWF in which scientists and analysts presented their current machine-learning-related projects. The meeting was intended to create synergies and

enhance communication between individual scientists who use or work on machine learning methods. This has put ECMWF in a position to efficiently spread information regarding upcoming scientific meetings, training opportunities, application needs, and the hardware and software infrastructure that is available for machine learning applications at ECMWF.

The workshop revealed that there are some 25 projects at ECMWF that are using (or are going to use) machine learning in one way or another. As illustrated in the figure, applications are spread over the entire numerical weather prediction workflow. Examples include:

- (i) bias correction of satellite observations
- (ii) the learning of model error within data assimilation
- (iii) the emulation of model components to increase computational efficiency in the forecast model
- (iv) local downscaling of model output to improve predictions
- (v) the monitoring of the IT infrastructure.

The state of readiness of the applications varied greatly. It ranged from research projects in the planning phase, for example regarding the monitoring and assessment of reanalysis production, all the way to products that are already available in an operational context. The latter

### Useful links

Joint ECMWF/ESA workshop on 'Machine Learning for Earth System Observation and Prediction', 5 to 8 October 2020: <https://www.ecmwf.int/en/learning/workshops/ecmwf-esa-workshop-machine-learning-earth-system-observation-and-prediction>

Recordings of the '1st Artificial Intelligence for Copernicus Workshop' at ECMWF in November 2019: <https://climate.copernicus.eu/1st-artificial-intelligence->

### *copernicus-workshop*

December 2019 ECMWF Council Lecture by Peter Düben on the future of machine learning in weather forecasting: <https://www.ecmwf.int/en/about/media-centre/news/2019/what-next-machine-learning-weather-forecasting>

New seminar series on machine learning starting in April 2020: <https://www.ecmwf.int/en/learning/workshops/machine-learning-seminar-series>

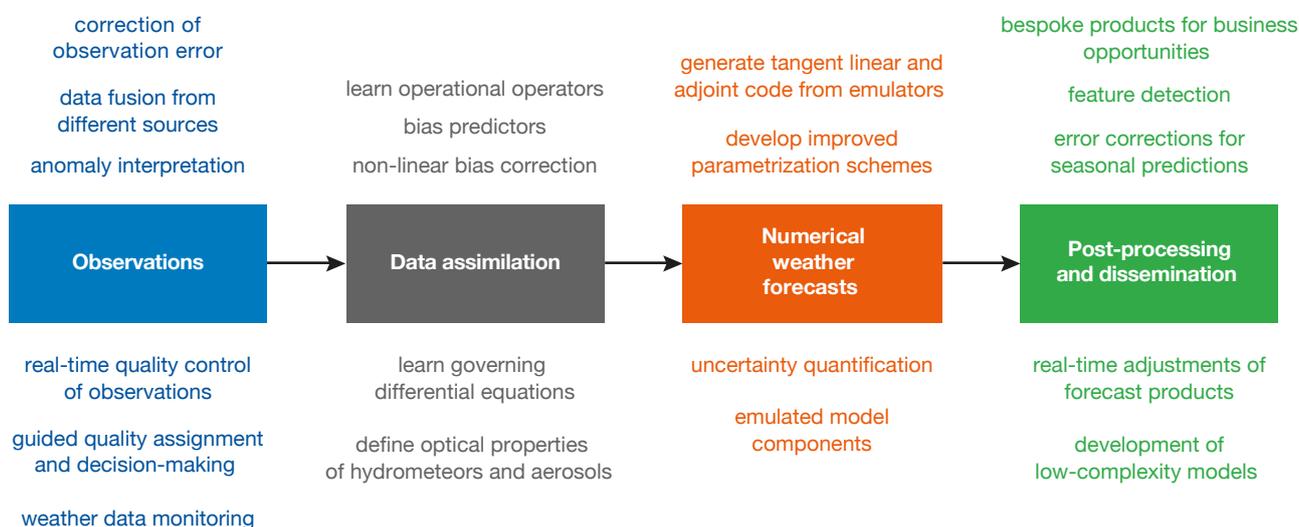
include the ecPoint tool for global probabilistic rainfall predictions and soil moisture retrieval from SMOS satellite observations for data assimilation. In addition, there are several active collaborations with external partners that involve machine learning research.

### Next steps

The European Weather Cloud, which is being developed jointly by ECMWF and EUMETSAT, will likely play a very significant role for the development of machine learning tools in the future. This is true for work carried out at ECMWF and in our Member and Co-operating States. Researchers who have access to Cloud computing resources will be able to easily load training data from the data archive and to use standard machine learning software tools such as TensorFlow and Jupyter notebooks. These tools

are rather different from the tools that are typically used in ECMWF's supercomputing environments and they are in general more tailored to Cloud environments. There are currently plans for a hardware upgrade of the European Weather Cloud at ECMWF which would add enough GPU resources to the existing infrastructure to support the training of machine learning applications.

Furthermore, ECMWF and the European Space Agency (ESA) are organising a joint workshop on 'Machine Learning for Earth System Observation and Prediction' that will take place at ECMWF from 5 to 8 October 2020. ECMWF is also organising a new seminar series on machine learning starting in April 2020 and will deliver the first (virtual) training course on machine learning for ECMWF staff this spring.



**Areas of application.** Potential areas of application for machine learning are spread over the entire numerical weather prediction workflow.

# Forecast performance 2019

Thomas Haiden, Tim Hewson, David Richardson

ECMWF maintains a comprehensive range of verification statistics to evaluate the accuracy of its forecasts. Each year, a summary of verification results is presented to ECMWF's Technical Advisory Committee (TAC). Their views about the performance of the operational forecasting system in 2019 are given in the box.

The overall performance of the operational forecasts is summarised using a set of headline scores endorsed by the TAC, which highlight different aspects of forecast skill. Two ensemble headline scores are shown here. Upper-air performance of the ensemble forecast (ENS) is monitored through the continuous ranked probability score (CRPS) for temperature at 850 hPa over the northern hemisphere extratropics. Forecast skill during summer 2019 was higher than in previous summer seasons, and comparison with re-forecast skill based on the ERA5 reanalysis shows that this is partly due to the latest upgrade of the Integrated Forecasting System (IFS Cycle 46r1 on 11 June 2019). This upgrade has brought improvements for both the ENS and the high-resolution forecast (HRES) across a range of parameters and atmospheric levels, including surface weather parameters. For example, the second headline score shown here, which monitors forecast skill for strong winds in terms of the Extreme Forecast Index, reached its highest ever value in 2019. There was also a slight increase in forecast skill for 2-metre temperature, and a gain in precipitation forecast skill.

The position error for forecasts of tropical cyclones increased compared to the previous year, but this was due to atmospheric variability, as ERA5 shows a very similar increase. HRES tropical cyclone intensity errors (as measured by central pressure) have reached their smallest values so far.

With regard to ocean waves, ECMWF has regained its lead compared to other global wave forecasting systems for forecasts of significant wave height. Wave parameters (significant wave height and mean wave period) in the HRES are improved in Cycle 46r1 by 5-10% due to a major upgrade in the ocean wave model. Increased wave activity leads to some degradation in wave height at longer lead times in the ENS. For peak period, ECMWF generally ranks second.

There has been no significant change in the headline score which monitors the extended-range forecast performance, focusing on the ENS probabilistic skill for weekly mean 2-metre temperature forecasts in the northern extratropics in week 3 of the forecast (days 15-21). While forecast skill in week 2 shows a weak upward trend, no such signal can be detected for week 3.

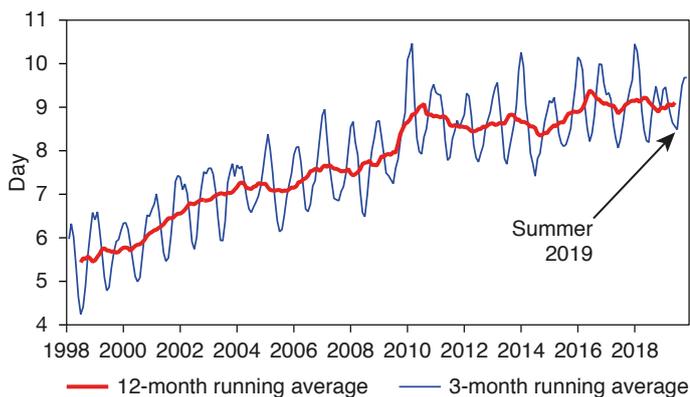
On the seasonal timescale, the main driver of predictability in 2019 was an exceptionally strong positive phase of the Indian Ocean Dipole, which peaked in October, and which was predicted by ECMWF's long-range forecasts 6 months ahead. This led to an unusually active tropical cyclone season in the Indian

Ocean and record warm anomalies in Australia. There was no strong forcing from the El Niño-Southern Oscillation, which remained in a slightly positive phase. Heatwave conditions in Europe in summer 2019 were qualitatively predicted 1-2 months ahead, but their magnitude was captured only at shorter lead times (week 2).

Each summer, Member and Co-operating States report on the application and verification of ECMWF's forecast products for the previous year. Many compare HRES with limited-area models (LAMs), and so usually centre on shorter ranges (up to about 60 hours). A very common finding, for almost every weather parameter, was that HRES forecast biases have a diurnal cycle, and annual cycles are also often present.

Large HRES forecast errors for 2-metre temperatures, in very hot or very cold situations, are a concern for some Member States, notably in Scandinavia, where very cold winter nights are not nearly cold enough in HRES forecasts. ECMWF continues to work on this model issue. Meanwhile, using the insightful approach of conditional verification (a growth area at ECMWF), Finland has shown that 2-metre temperature errors in winter tend to be lowest when the skin temperature (ordinarily of a snow surface) is about 0°C. This is because energy exchanges then involve the latent heat of fusion more than temperature change.

ENS-related verification results were limited, but Germany reported a multi-parameter, multi-pressure level comparison between the ICON and ECMWF ensembles, which they use as a verification benchmark for their system. Results for the stratosphere



**Skill of the ENS as measured by ECMWF's primary headline score.** Evolution of 850 hPa temperature ensemble forecast performance in the northern hemisphere extratropics, verified against the corresponding analysis. The chart shows 12-month and 3-month running average values of the forecast range at which the continuous ranked probability skill score (CPRSS) falls below 25%.

## Assessment of ECMWF's Technical Advisory Committee, 10–11 October 2019

With regard to its overall view of the performance of ECMWF's operational forecasting system, the Committee:

- a) noted that ECMWF headline scores continue to show high and improving skill, especially in the light of the introduction of 46r1; noted that the lead over other centres has been maintained and in some instances the lead in specific elements, e.g. significant wave height, has been regained, and noted that scores for some elements were the highest ever;
- b) noted that precipitation verification scores have started to improve again following a recent drop due to natural variability in predictability;
- c) welcomed improvements seen in the newly introduced score for large ENS 10 m wind errors;
- d) noted that ECMWF SEAS5 highlighted a warm anomaly for summer 2019 over Europe and ECMWF ENS highlighted heat waves at shorter lead times; however, at the intermediate lead time of 3–4 weeks, the forecast performed less well;
- e) recognised that the warm anomaly winter 2018/19 over Europe was not forecast at the seasonal time range;
- f) welcomed continued improvement to the forecasting of tropical cyclone

tracks and welcomed the proposed investigation into tropical cyclone negative speed bias and intensity next year; investigation on the extratropical transition would also be welcome;

- g) acknowledged deficiencies in forecasting elevated convection and modelling of snow, and welcomed ongoing and proposed investigations into these topics;
- h) welcomed the development of ecCharts2 and the improvements in speed;
- i) welcomed proposed improvements to ensemble vertical and horizontal resolution at 48r1 and encouraged consideration of changing the extended-range ENS to run daily;
- j) appreciated the very good support ECMWF provided to Member and Co-operating States over the last year, in particular for high-impact weather events, and welcomed specific new products such as the point rainfall product, early warning for cold spells, extended EFI and integrated water vapour EFI;
- k) appreciated ECMWF's invitation to Member and Co-operating States to identify additional products and services for use by forecasters, and appreciated ECMWF's management

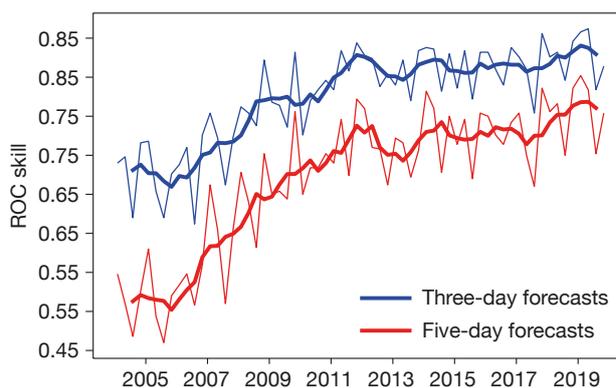
of these requests with the URMS to assess priorities;

- l) welcomed the testing of OPERA data in both verification and data assimilation;
- m) appreciated the consistent and reliable delivery of ECMWF products;
- n) appreciated the earlier delivery of ENS and extended ENS output and recognised this may change with 48r1 and welcomed ECMWF's optional offer to deliver products as soon as they are available;
- o) recognised the huge benefits of ERA5 to the meteorological community;
- p) recognised the value of third-party activities and how they feed into ECMWF output, for example the demonstrated value of ERA5 (e.g. as benchmark) and the potential of prognostic dust aerosol for 2 m temperature forecasts;
- q) appreciated the value of ECMWF training courses in increasing the benefit of ECMWF forecasts to Member and Co-operating States' forecasters and end users; welcomed the blended format combining e-learning with classroom face-to-face interactions; but stressed that such face-to-face interactions remain important and should not be reduced further.

highlighted the known IFS bias which will be addressed in IFS Cycle 47r1 later this year.

The pivotal importance of correctly predicting severe events was reiterated in many reports. For example, extreme Mediterranean cyclones, around 28 September and 29 October 2018, were mentioned by several countries. These cases also appear in ECMWF's severe event catalogue.

The complete set of annual results is available in two ECMWF Technical Memorandums, No. 853 on 'Evaluation of ECMWF forecasts, including the 2019 upgrade' and No. 860 on 'Use and Verification of ECMWF products in Member and Co-operating States (2019)'. Both are downloadable from <https://www.ecmwf.int/en/publications/technical-memoranda>.



### ENS headline score for strong winds.

Evolution of the skill of the Extreme Forecast Index (EFI) for 10-metre wind speed in Europe at day 3 and day 5, verified against SYNOP weather station observations. The chart shows 12-month running average values (bold) and seasonal values.

The following are other sources of information about verification and forecasting system changes.

- Verification pages: <https://www.ecmwf.int/en/forecasts/charts>
- Inter-comparisons of global model forecast skill: <https://apps.ecmwf.int/wmolcdnv/>

- A list of 'Known IFS Forecasting Issues': <https://confluence.ecmwf.int/display/FCST/Known+IFS+forecasting+issues>
- All IFS forecasting system cycle changes since 1985: <https://www.ecmwf.int/en/forecasts/documentation-and-support/changes-ecmwf-model>

# Drifting buoys deployed in the northeast Pacific

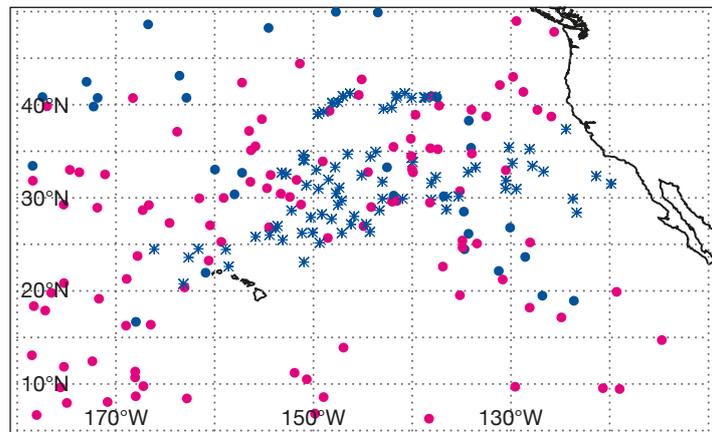
David Lavers, Bruce Ingleby (both ECMWF), Luca Centurioni, Anna Wilson, Marty Ralph (all Scripps Institution of Oceanography, US), Aneesh Subramanian (University of Colorado Boulder, US)

In January and February 2020, 64 drifting buoys were deployed in the northeast Pacific Ocean. For ECMWF, a key feature of these buoys is their pressure sensors, which provide valuable sea-level pressure observations in this data-sparse region. These pressure measurements are crucial for numerical weather prediction because (1) pressure at mean sea level is an important variable linked to the main mode of extratropical synoptic variability; (2) in many ocean areas there are very few other in-situ observations; and (3) satellite data still only provide a small amount of information about pressure at mean sea level. The buoys can operate for up to two years and are a cost-effective component of the global observing system. Unfortunately, up to 50% of drifting buoys globally still do not have pressure sensors.

This latest mission to increase the number of buoys in the northeast Pacific was part of the Atmospheric River Reconnaissance (AR Recon) field campaign and was funded by NOAA's Global Drifter Program, the California Department of Water Resources, and the U.S. Army Corps of Engineers. Two types of buoys were deployed: 16 Directional Wave Spectra Barometer drifters (DWSB; <https://gdp.ucsd.edu/ldl/dwsbd/>) and 48 Surface Velocity Program Barometer drifters (SVPB; <https://gdp.ucsd.edu/ldl/svpb/>). This year marks the first time that the DWSB drifters were deployed. Like the SVPB drifters, the DWSB drifters measure sea-surface temperature and

barometric pressure; however, DWSB drifters also compute the directional wave spectrum via a high-performance GPS engine. The Center for Western Weather and Water Extremes (CW3E) and the Lagrangian Drifter Laboratory, both at Scripps Institution of Oceanography, led the deployment planning, with input provided by ECMWF, the University of Colorado Boulder, and the United States Air Force. This latest deployment follows 32 SVPB buoys which were launched during AR Recon in January 2019 (ECMWF Newsletter No. 159), of which 24 were still reporting in February 2020. The total number of AR Recon-launched buoys reporting in February 2020 was thus 88.

This year, two platforms were used to release the buoys: a ship of opportunity releasing buoys every 100 km from Los Angeles to Honolulu and from Honolulu to San Francisco; and US Air Force flights to the northeast of Hawaii. These extra buoys benefit the ECMWF Integrated Forecasting System across the northern Pacific Ocean and may improve forecast skill over Europe in the medium range. The AR Recon campaign also involves releasing dropsondes and radiosondes into storm systems with the aim of improving forecasts of storms and extreme precipitation in the western United States. An evaluation of the impact of the 2019 buoy deployment is under way.



- \* Buoys deployed as part of AR Recon, with pressure sensor
- Other buoys, with pressure sensor
- Other buoys, no pressure sensor

**Drifting buoys in the northeast Pacific.** The figure shows the location of all drifting buoys in the northeast Pacific Ocean in February 2020. These buoys provide valuable observations of sea-surface temperature, and in this ocean area 53% of them also have pressure sensors.

## New observations since January 2020

The following new observations have been activated in the operational ECMWF assimilation system since January 2020.

Observations	Main impact	Activation date
Line-of-sight winds from Aeolus	Wind, vertically resolved	9 January 2020
Radio occultation bending angles from COSMIC-2	Temperature and winds in upper troposphere/lower stratosphere	25 March 2020

# Experts review use of satellite cloud and precipitation observations

Alan Geer, Niels Bormann

Eighty of the world's leading experts on using satellite cloud and precipitation observations in numerical weather prediction (NWP) came together at ECMWF from 3 to 6 February 2020. Observations of cloud and precipitation are key to improving global, regional and convective-scale weather forecasts, as they provide information in active weather situations. Through sophisticated assimilation algorithms, these observations provide information not only on clouds or precipitation but also on the dynamics that support cloud or precipitation developments. Aside from improving initial conditions, experience from assimilating these observations also enables feedback on cloud modelling developments, identifying strengths and shortcomings and guiding future developments. At the same time, using cloud and precipitation-affected observations is challenging, due to the complexity of forward modelling the observations as well as the complexity of representing the errors and uncertainties involved. The February meeting was the fourth in a series of workshops on 'Assimilating Cloud and Precipitation Observations for NWP'. Organised every five years, these workshops provide a very fruitful forum for a highly active research area. Reflecting the collaborative nature of the effort, this time the event was organised jointly with EUMETSAT's NWP Satellite Application Facility (SAF) and the US Joint Center for Satellite Data Assimilation (JCSDA).

A particular feature of the workshop was bringing together different communities, from cloud physics modelling, observations, observation forward modelling and data assimilation. Successful assimilation of cloud and precipitation observations relies on developments in all these areas, but usually the communities involved meet in separate conferences or workshops.



**Working group.** Panel discussions, poster sessions and working group meetings provided opportunities for interaction between participants.

The interdisciplinary nature of the workshop was much appreciated by the participants. Twenty-six speakers presented recent achievements and remaining challenges, and panel discussions, poster sessions, and working group meetings rounded off a highly interactive programme. The participants came from a wide range of NWP centres, covering global to convective-scale applications, as well as from academia, space agencies and private data providers.

## Progress made

Several talks highlighted the immense progress that has been made since the last workshop. At that time, ECMWF was the only centre assimilating cloud- and precipitation-affected microwave observations operationally, whereas now several centres have achieved similar operational implementations, with significant impacts on forecast skill. Among the consistent benefits shown were improvements for tropical cyclone forecasting resulting from all-sky assimilation of these observations. Developments for infrared observations are also approaching operational maturity, and experimental assimilation of observations in the visible part of the spectrum or from active sensors shows promise. The assimilation of closely linked lightning observations is also becoming feasible.

## Continuing challenges

At the same time, it is clear that a large portion of available cloud and precipitation observations are still not yet operationally exploited in NWP, and significant challenges remain to bring promising developments to operational maturity. This includes continued development and refinement of fast and accurate observation operators, cloud modelling and assimilation strategies. A particular aspect is the interaction of different scales, as clouds depend on scales from the synoptic down to microphysical processes. The workshop highlighted the need to adequately treat these different scales and the uncertainties in them, in order to optimise the impact of the observations on different forecast ranges. Together with the non-linear nature of the forward modelling, these aspects continue to challenge existing data assimilation methodologies. It was suggested that cloud and precipitation assimilation may profit particularly from hybrid approaches that combine the strengths of different methods (e.g. 4D-Var and ensemble approaches, including particle filters, etc.).

Beyond using the observations to gain benefits from better initial conditions, the workshop also highlighted how feedbacks on cloud modelling developments are gaining traction. With an increasing diversity of observations used, it may be possible to achieve 'microphysical closure', where the

sources of cloud and precipitation-related errors in forecast models can be identified by exploiting the overlapping sensitivities of different observation types. Constructive inter-community

collaboration will remain crucial to achieve further benefits in these areas.

Presentations, posters, and recordings are available on the

workshop web page at <https://www.ecmwf.int/en/learning/workshops/4th-workshop-assimilating-satellite-cloud-and-precipitation-observations-nwp>.

# Continuous long-window data assimilation

Efías Hólm, Simon Lang, Peter Lean, Massimo Bonavita

In the next upgrade of ECMWF's Integrated Forecasting System to IFS Cycle 47r1, the continuous data assimilation (Co-DA) introduced in IFS Cycle 46r1 will be extended to connect the early-delivery assimilation (DA) and long-window assimilation (LWDA) into one assimilation cycle, referred to as continuous long-window data assimilation (Co-LWDA).

In continuous data assimilation (Lean et al. in Newsletter No. 158), newly arrived observations are added to the successive minimisations of the early-delivery stream. In the current setup of the IFS data assimilation cycle, the DA analysis is only used to initialise the forecasts, and it does not feed into the subsequent LWDA analysis update (see the figure). The starting point for both the DA and LWDA analysis is a first guess provided by a short-range forecast from the previous LWDA analysis. That same short-range forecast also serves as the background, which together with its estimated errors enters into the data assimilation calculations.

However, since the DA analysis has already run by the time the LWDA analysis starts, the LWDA analysis can be started from a more accurate first

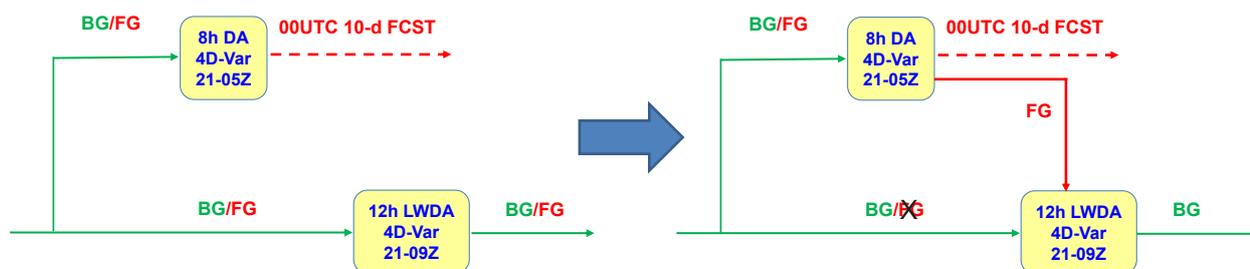
guess provided by the DA analysis, while the background and its error estimate remain the same. This connects DA and LWDA into one assimilation cycle, with LWDA just providing the final four minimisations of an eight-outer-loop assimilation cycle and adding the observations available in the remaining 5 hours of the 12-hour assimilation window. In this framework, the LWDA analysis can be viewed as a time extension of the DA analysis. The Co-DA concept has thus been extended to include DA and LWDA in one assimilation cycle, and we refer to this as Co-LWDA.

The experiments for this change show all the common features seen when we increase the number of outer loops. The fit of the short-range forecasts to independent observations improves noticeably for observations such as water vapour, cloud and precipitation sensitive radiances. These are the observations that need more outer loops to extract their full information content. This improvement is significant and similar in structure to the improvement seen from going from four to five outer loops. There is also a significant increase in the number of observations used in LWDA,

particularly infrared radiances, which are very sensitive to the correct position of clouds for their quality control. Improvement is also seen against SATOB, which measures wind from the movements of clouds and water vapour. Together this shows that clouds, water vapour and winds are more accurate in the short-range forecast. Beyond the short range, the effect will largely be to increase significantly the benefits that will arise when we are able to implement increased inner-loop resolution.

The connection of DA and LWDA into a single Co-LWDA assimilation cycle is a step towards further optimisation of the assimilation system. Co-LWDA enables more accurate configurations at the same cost as before, and the run-time of subsequent outer loops can be spread out in time to best fit observation availability and computer resources. This further flexibility will be used for further optimisation in future IFS upgrades.

For further details, watch out for the article on 'Continuous data assimilation for global numerical weather prediction' by Lean et al., submitted to the Quarterly Journal of the Royal Meteorological Society.



**Continuous long-window data assimilation setup.** In the current setup (left) of the IFS data assimilation cycle, the 8-hour early-delivery analysis (DA) is only used to initialise the forecast (FCST), and it does not feed into the subsequent 12-hour long-window analysis (LWDA). The starting point for both analyses, the first guess (FG), is provided by the short-range forecast from the previous LWDA analysis. In IFS Cycle 47r1 (right), the DA analysis provides a more accurate first-guess trajectory (FG) as a starting point for the LWDA analysis, while the common background and its error estimate remain the same. This connects the two into one assimilation cycle, continuous long-window data assimilation (Co-LWDA).

# Climate service users develop sector-specific tools

Clara Brune, Samuel Almond, Carlo Buontempo, Chiara Cagnazzo

Private- and public-sector users of the EU-funded Copernicus Climate Change Service (C3S) implemented by ECMWF are building a growing range of sector-specific applications. The applications are part of the Sectoral Information System (SIS) of C3S. The idea behind the SIS is to promote the creation of downstream applications for climate adaptation and mitigation. Based on the data and tools provided by C3S, intermediate users showcase the potential of climate data for sector-specific applications to the benefit of end users.

## A growing portfolio

Building upon the experience of the initial proof-of-concept phase, the SIS has expanded its activities towards new sectors. Today the SIS portfolio includes activities within the sectors of water management, energy, agriculture and forestry, tourism, health, infrastructure, insurance, transport, disaster risk reduction, coastal regions, and biodiversity. While the C3S Climate Data Store (CDS) infrastructure was initially unavailable to SIS contractors, the outputs created within the framework of the SIS have now started to be moved to the CDS or are directly developed there.

Datasets that combine climate information with sector-specific parameters have resulted in the creation of sector-specific climate impact indicators and associated tools. This is to the benefit of all C3S users, who can gain insights into how climate change may affect specific sectors. For example, with the development of a global biodiversity prototype service, led by the Flemish Institute for Technological Research (VITO), the SIS has started to demonstrate the potential for using climate information to improve biodiversity and ecosystem management. While biodiversity is and will continue to be affected by a changing climate, knowledge about the current climate together with an assessment of how it is changing is key for adaptation actions that aim to reduce biodiversity loss and associated consequences for society.

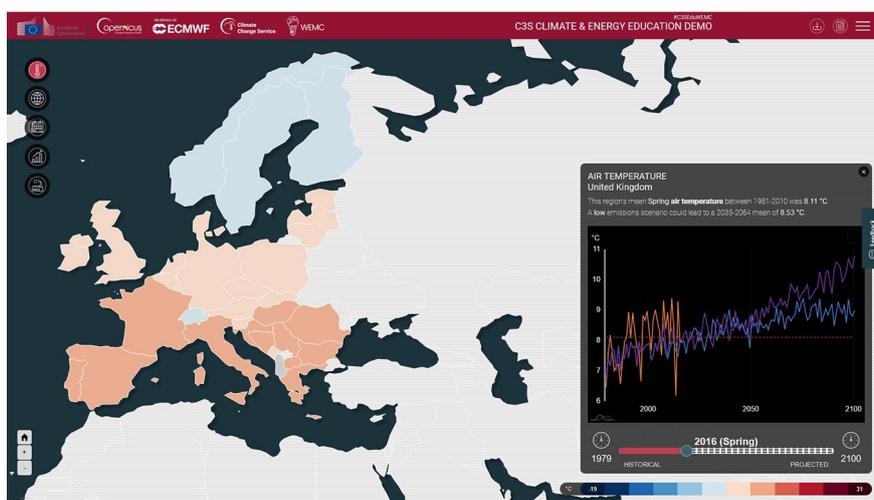
With the development of a platform that combines datasets, indicators and tools on biodiversity and ecosystem services, the SIS aims to fill a knowledge gap for improved decision-making by nature conservation agencies, policy-makers, scientists, and private companies. Complementary SIS

activities include conducting a market analysis and showcasing the benefits of the tools to various end users working on biodiversity of fauna and flora on land and in the ocean. This knowledge will be directly relevant to practical decision-making, for example relating to the management of semi-natural grassland in the European Commission's Biodiversity Strategy beyond 2020. In general, sector-specific information is important not only for evidence-based policymaking but also for the implementation and evaluation of associated measures.

Along with some datasets, the first software applications have been created within the framework of the SIS. As another example of how such tools can increase the uptake of data provided by C3S, applications such as the C3S Climate & Energy educational tool (C3S Edu Demo) allow students and teachers to explore climate and energy information using an interactive map. More information is available online: <https://climate.copernicus.eu/bringing-climate-data-classroom>.

## Outlook

Users have given overall positive feedback on the SIS, but some challenges remain with respect to functionalities and integrating SIS outputs into the CDS. Stakeholder engagement has been crucial in identifying these challenges and deciding how to improve the service where needed. User requirements, outputs, and the publication of data and tools are key parts of each SIS contract. While changes may be required to improve the migration of SIS outcomes into the CDS infrastructure, it is clear that the SIS is playing an important and growing role in the delivery of the Copernicus Climate Change Service by demonstrating how high-quality data can contribute to building a climate-resilient society.



**C3S Edu Demo.** The application allows users to explore climate and energy variables on a map interface. Users have different menu options to discover historical climate data, climate projections and potential implications for the energy sector. The image shows a screenshot of the application with seasonal temperature data (spring).

# New web tool to monitor observations launched

Cristina Prates, Pierre Vernier (both ECMWF), Timo Pröscholdt (WMO)

The graphical web-based interface designed for the WIGOS (WMO Integrated Global Observing System) Data Quality Monitoring System (WDQMS) became fully operational on 17 March 2020, four months after its pre-operational release. The WDQMS web tool is a resource developed jointly by WMO and ECMWF to monitor the performance of all WIGOS observing components. This interactive tool aims to support the monitoring, evaluation and incident management activities of WDQMS, and it is part of WMO's wider strategy to strengthen the surface-based components of WIGOS.

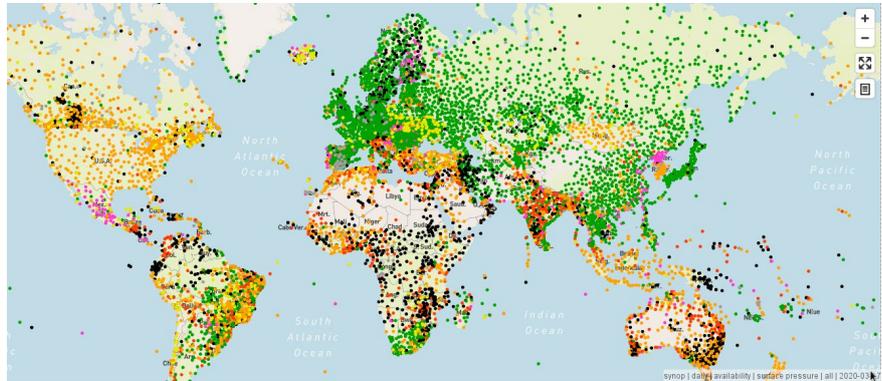
The new tool monitors the availability and quality of land-based surface and upper-air (radiosonde) observations, based on near-real-time monitoring information provided by the four participating global numerical weather prediction (NWP) centres: the German Weather Service (DWD), ECMWF, the Japan Meteorological Agency (JMA) and the US National Centers for Environmental Prediction (NCEP). The system collects 6-hourly quality monitoring reports from these four WIGOS Monitoring Centres, and it stores the data in the WDQMS database at ECMWF. The monitoring data is then aggregated, and the calculated statistics are compared against performance thresholds.

## Availability and quality

Availability information is obtained by comparing the number of observations received from the network (i.e. the observations that were made available to the assimilation system of each NWP centre) to those expected to be ingested into the WMO Information System (WIS), according to the schedule determined by the OSCAR/Surface database, the global repository of WIGOS metadata for all surface-based observations.

As illustrated by the figure, the system flags up discrepancies between what is scheduled and what is actually observed and highlights any issues.

The quality assessment is based on comparing computed statistics (e.g.



**The WDQMS web tool.** This screenshot shows the daily status of the land surface network for 17 March 2020 based on the monitoring information aggregated across the four NWP centres. The map highlights inhomogeneities in global data coverage (black means not reporting during the period) and reporting practices (orange and red mean underperforming and green means fully reporting) as well as issues in the station metadata extracted from OSCAR/Surface (pink means reporting more than expected and yellow means that the station ID is not known).

bias and standard deviation) of Observation-minus-Background (O-B) departures, provided by the WIGOS Monitoring Centres, against the up-to-date observational requirements of global NWP defined in OSCAR/Requirements. The monitoring is applied only to the observed quantities whose O-B departures are available in the NWP monitoring reports, i.e. the ones for which a background derived from the model forecast is available in the NWP assimilation systems. Therefore, the surface physical quantities monitored are the following: surface pressure (or geopotential height, for some land stations in mountainous areas), 2-metre temperature, 2-metre relative humidity and 10-metre wind (meridional and zonal components). For the upper-air land-based observations, the quantities are: air temperature, relative humidity and wind, both meridional and zonal components.

## The role of Regional WIGOS Centres

The system aggregates the NWP monitoring information by station, time interval, observed variable and NWP Centre and generates availability and quality performance reports that are displayed as maps or time series. The temporal aggregation is presented in

three different formats: 6-hourly intervals (from 00, 06, 12 and 18 UTC), daily, and monthly (not yet available). In addition, results across NWP centres are aggregated. For this, the best data availability and quality result per station and variable is used to generate a combined performance report. Finally, an extra level of aggregation (Alert) based on 5-day moving averages across the WIGOS Monitoring Centres is also available to warn WMO Regional WIGOS Centres (RWC) about potential observational issues.

The RWCs are responsible for analysing the performance reports provided by the web tool and assessing whether any of the observational issues highlighted by the system should be formally raised as incidents with the data providers. They are also responsible for the management of WIGOS metadata at a regional level to support WMO Members in updating, maintaining and quality controlling station metadata in OSCAR/Surface. In practice, they will have to work closely with the data providers to resolve incidents with observational data and metadata in a timely manner. Therefore, the WDQMS web tool, with its direct link to OSCAR/Surface, makes it possible to check in near real time (daily) the impact of any metadata update on the status of the

observing network. It is also planned to record monitoring information from the WDQMS web tool in OSCAR/Surface, so that essential information can flow between the two systems to ensure consistency.

## Benefits

WDQMS and the new web tool have the potential to bring far-reaching benefits for WMO's management of the surface-based component of WIGOS. The NWP community benefits not only from a

better-performing network, but also from near-real-time access to comparable monitoring data from multiple global NWP centres. This can help NWP centres to disentangle observation errors from model errors and to compare their own data coverage and data quality with those of other NWP centres. National meteorological services will see increased usage of their observations due to improved quality, and they will receive feedback in near real time on the

usage and quality of their observations.

Plans for the future include extending the monitoring to other components of WIGOS, such as climate-related observations, marine observations, aircraft observations, and others. The ultimate goal of the WDQMS web tool is to monitor the performance of all observing platforms and stations documented in OSCAR/Surface in near real time in the case of weather observations and in delayed mode for climate observations.

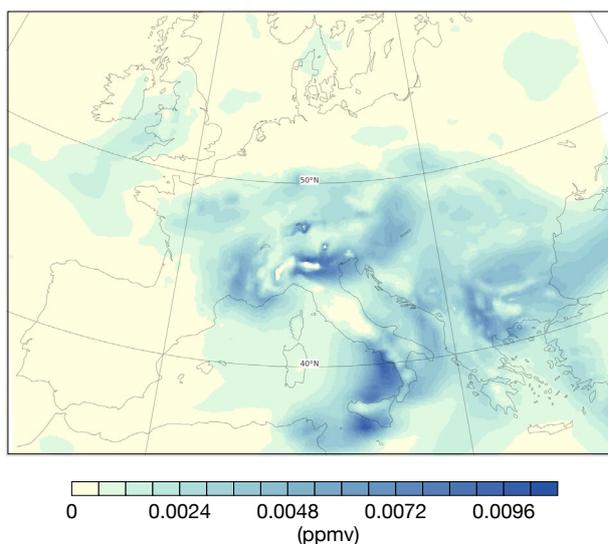
# ECMWF helps users to drive a regional chemistry model with CAMS data

Bojan Kašić, Xiaobo Yang, Miha Razinger, Milana Vučković

The Copernicus Atmosphere Monitoring Service (CAMS) produces daily forecasts of pollutants, aerosols and greenhouse gases across the globe. This makes it an ideal candidate for driving a regional chemistry model such as WRF-Chem, the US Weather Research and Forecasting (WRF) model coupled with chemistry. In view of the high level of interest among CAMS users in running the WRF-Chem model with CAMS data, including from universities in Britain and Ireland, ECMWF has carried out some tests and has shared the insights gained with the CAMS user community on the Copernicus Knowledge Base (<http://copernicus-support.ecmwf.int/knowledgebase>).

To drive the WRF-Chem model, the first step is to create initial and lateral boundary conditions from a global meteorological dataset. ECMWF has tested this with ERA5, the latest global weather and climate reanalysis from the Copernicus Climate Change Service (C3S). Surface and pressure level meteorological fields, which are mandatory for WRF initial and lateral boundary conditions, were retrieved from the C3S Climate Data Store (CDS) using the CDS API.

Next, the initial and lateral boundary chemistry conditions are needed to drive the chemistry part of the model. ECMWF's Web API was used to retrieve CAMS chemical data on model levels, including aerosols, global reactive gases, volatile organic compounds, temperature



**WRF-Chem example plot.** Initial nitric acid ( $\text{HNO}_3$ ) concentration on the WRF-Chem grid at model level 5, interpolated from CAMS data and plotted in Metview.

and surface pressure. However, currently WRF cannot directly process chemistry data from global models and consequently additional tools are required to interpolate chemical variables to the WRF model grid.

WRF-Chem driven by CAMS data has previously been run as part of the Air Quality Model Evaluation International Initiative (AQMEII) phase 2 project, for which ECMWF provided boundary conditions. To pre-process the CAMS data for WRF-Chem, this group developed a set of utility tools to convert CAMS output into the format used in the MOZART chemical transport model. The same utility tools are available to CAMS users as the group has kindly agreed to share them with our user community. This means that the

MOZART package, which is freely available from the US National Center for Atmospheric Research (NCAR), can be used to ingest the CAMS chemical data into the WRF-Chem boundary condition files. Once the WRF-Chem simulation is complete, users have the option to directly visualise the output in NetCDF format using ECMWF's Metview package.

There is thus a complete set of tools available to drive WRF-Chem simulations using CAMS data as initial and lateral boundary conditions. It is expected that a more sophisticated and fully automatic software package will be made available in the future. This work in response to user requirements is part of ECMWF's efforts to make sure that CAMS is a user-driven service.

# Precipitation bias correction improves flood forecasts

Toni Jurlina, Estíbaliz Gascón, Shaun Harrigan, Christel Prudhomme

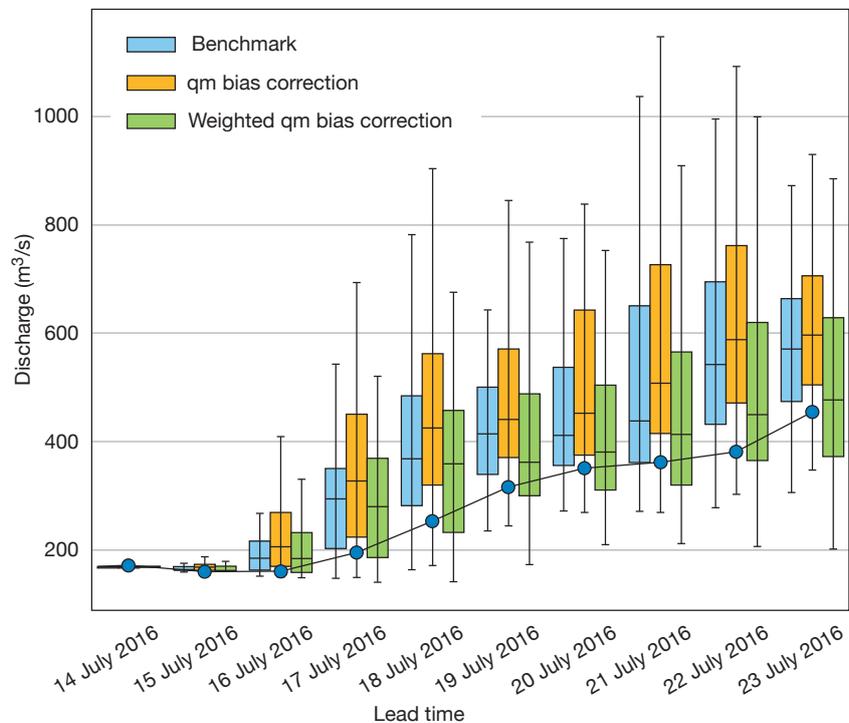
A recently developed precipitation forecast bias correction tool has the potential to improve river discharge forecasts produced by the European Flood Awareness System (EFAS), first tests at ECMWF have shown. ECMWF is the computational centre for EFAS, which is part of the EU-funded Copernicus Emergency Management Service (CEMS).

## Bias-correction method

Observed near-real-time and predicted meteorological forcings, such as precipitation forecasts, are key elements of the EFAS forecast production chain. ECMWF has recently tested the quantile mapping method to bias-correct the precipitation forecasts used in EFAS. The method was applied to ECMWF medium-range ensemble forecasts using the bias correction tool developed at the US National Oceanic and Atmospheric Administration (NOAA) with support from Tom Hamill, who led the NOAA work ([https://github.com/ThomasMoreHamill/Multi-model\\_PQPF](https://github.com/ThomasMoreHamill/Multi-model_PQPF)). The tests cover the period of June to November 2016. To apply quantile mapping, one generates forecast and observed cumulative distribution functions (CDFs) from available forecast and analysed data for the grid point of interest. For the precipitation forecast value at a certain grid point, the associated quantile is determined from the forecast CDF. The forecast value is then replaced with the analysed value associated with that same quantile. In a second step, weighted probabilities can be generated for the ensemble forecast distribution based on closest-member histograms.

## Hydrological forecast improvements

The hydrological experiments carried out at ECMWF were initialised at 06:00 UTC, using the analysis as initial conditions and proxy observations. Three experiments for summer and autumn 2016 were conducted to evaluate the possible gain in skill when



**Example forecast for the Hortobagy station in Hungary.** The chart shows the analysis with three LISFLOOD river flow ensemble forecasts (box and whisker symbols, showing the minimum, the 25th percentile, the median, the 75th percentile and the maximum). The forecasts were forced by the three precipitation datasets used in the benchmark experiment, the qm experiment, and the weighted qm experiment, respectively.

bias-correcting the precipitation forecast forcing for the LISFLOOD hydrological model used in EFAS. The first is a benchmark simulation which uses daily ECMWF ensemble forecasts (ENS) of precipitation downscaled to the EFAS 5 km grid, i.e. an identical setup to the one used in EFAS operationally. In the second and third experiments, the precipitation forecast was statistically bias-corrected with (a) quantile mapping (qm) and (b) quantile mapping combined with an objective weighting of the sorted ensemble members (weighted qm). Generally, compared with the benchmark forecast, the bias-corrected 1- to 10-day probabilistic 24-hour river discharge forecasts show higher skill as measured against the river discharge analyses. As an example, Figure 1 shows the results for a forecast for the Hortobagy station on the Sebes Koros river in Hungary. Here the weighted qm bias correction

forecast outperforms both the benchmark and the qm forecast.

We have used the Continuous Ranked Probability Score (CRPS) and the CRP Skill Score (CRPSS) to evaluate the overall quality of the bias-corrected forecasts. Over the whole European domain, the highest skill is achieved in the weighted qm experiment, while the benchmark is found to have the lowest skill at all lead times (up to 10 days), as measured by the median CRPS score. In the weighted qm experiment, higher CRPSS values than in the benchmark were achieved across Europe for lead times of up to 3 days. Up to 7 days ahead, weighted qm remains better than the benchmark with the exception of some small areas in the domain. For short lead times, absolute positive differences in bias-corrected vs benchmark CRPSS values for individual catchments are

larger for medium-sized catchments (1,000–5,000 km<sup>2</sup>) than for larger catchments (> 5,000 km<sup>2</sup>). This is as expected due to the faster response times and greater sensitivity of smaller catchments. Similar conclusions are reached with the Brier score and reliability diagrams: in all cases, the weighted qm experiment outperforms the benchmark experiment.

## Outlook

The work presented here illustrates how ECMWF data can be used in the context of specific applications. However, the findings need to be interpreted with caution as the tests were conducted for a relatively short period of time for hydrological verification (six months), during a relatively dry period of the year within

Europe (summer, autumn). A much longer experiment will have to be conducted, ideally covering several years, to make a more robust assessment. Looking at catchment properties to understand the difference in performance gain and investigating spatial patterns of skill are areas of further research worth exploring, along with the use of alternative skill assessment metrics.

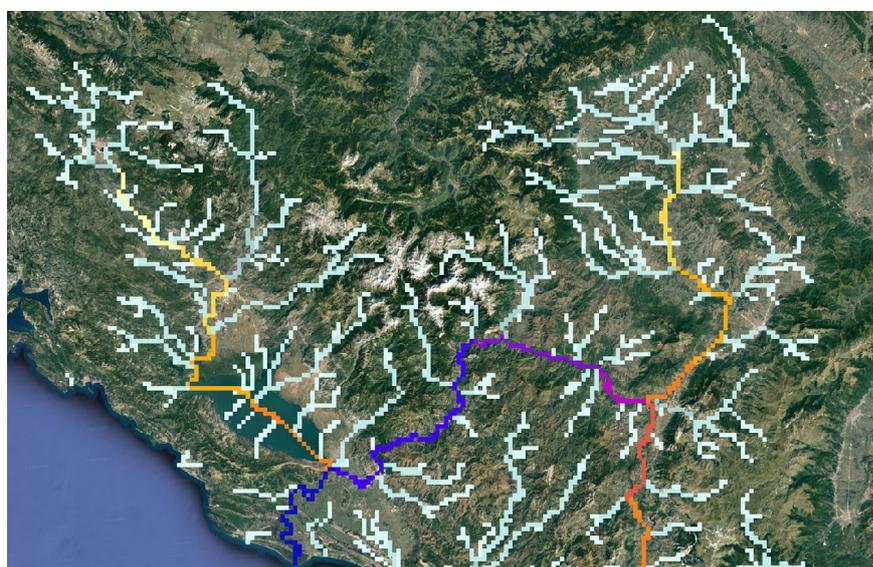
# ECMWF helps to improve flood warnings in Albania

Cinzia Mazzetti, Damien Decremer, Christel Prudhomme

ECMWF is part of the EU-funded Programme for Improving National Early Warning System and Flood Prevention in Albania (PRO NEWS). The programme started in 2017 with the overall objective of ensuring increased resilience to floods in Albania by strengthening the National Early Warning System and improving disaster prevention in line with EU good practices. Besides ECMWF, the PRO NEWS consortium includes global organisations such as the World Meteorological Organization (WMO), partners from Italy and Croatia, and local institutions, which are involved as both active partners and beneficiaries of the programme.

In this context, and with strong support from the EU Delegation in Albania, ECMWF has worked to upgrade the European Flood Awareness System (EFAS) for the area covering Albania. This includes improving the river drainage network, revising parameter sets of the LISFLOOD hydrological model based on new sets of observations provided by Albania, and integrating national monitoring points into the EFAS web interface. These enhancements, combined with the rolling EFAS upgrades undertaken for the Copernicus Emergency Management Service (Copernicus EMS), have made it possible for EFAS to be adopted as the National Flood Forecasting and Warning System for Albania.

ECMWF activities in the programme have also included the implementation



**River drainage network.** The figure shows the LISFLOOD 1 km river drainage network for catchments in northern Albania. Each grid box is coloured according to the upstream drained area, ranging from 25 km<sup>2</sup> (the lightest colour) to more than 14,400 km<sup>2</sup> (dark blue).

of a high-resolution prototype of the hydrological model LISFLOOD over Albania. The prototype, in which the grid spacing of the hydrological model is 1 km instead of the 5 km used in EFAS, may serve as a test for the future development of the EFAS system. Technical issues that were addressed include devising methods to downscale LISFLOOD parameters and to generate all static maps necessary to run LISFLOOD at the increased resolution. While the method adopted in PRO NEWS to downscale the river drainage network from 5 km to 1 km worked well for a small domain such as Albania, it became clear that more thought needs to go into developing a high-resolution river drainage network for the EFAS

pan-European domain.

For example, while performing experiments with the LISFLOOD 1 km prototype over Albania did not raise any issue in terms of computation time, a future high-resolution EFAS system would increase the current computational burden 25-fold. Considerations of computation time, data handling and storage for such a system call for improvements in the EFAS computational chain and a better use of parallelised computing resources at ECMWF.

When the PRO NEWS programme ends in September 2020, it will have brought benefits for both Albania and EFAS. For more information on PRO NEWS, visit [www.pronewsprogramme.eu](http://www.pronewsprogramme.eu).

## Improving the handling of model bias in data assimilation

Patrick Laloyaux, Massimo Bonavita

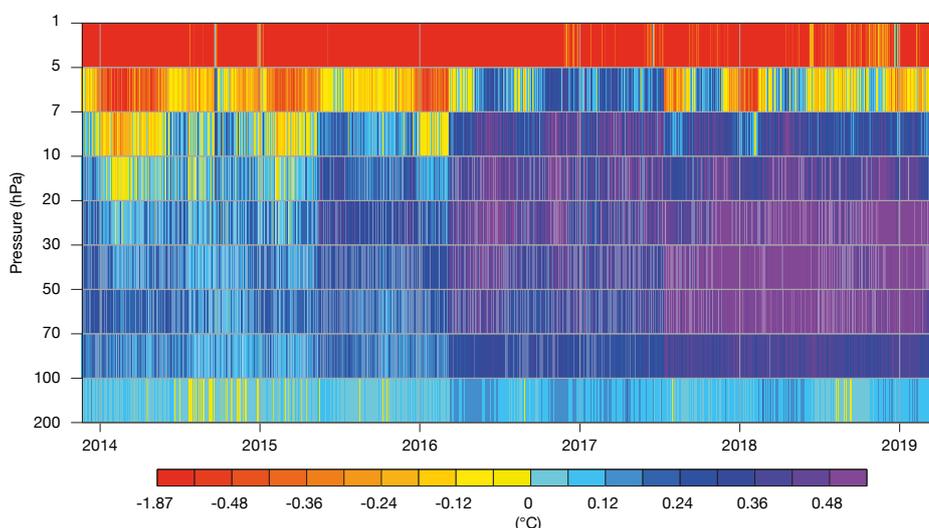
**E**rrors in numerical weather prediction arise from two main sources: incorrect initial conditions and deficiencies in the dynamics and the physical parametrizations of the forecast model. To correct initial errors, four-dimensional variational data assimilation (4D-Var) adjusts the initial state of the atmosphere to find the model trajectory that best fits the most recent meteorological observations. The new initial state from which forecasts start is called the analysis. In the standard 4D-Var formulation, known as strong-constraint 4D-Var (SC-4DVar), the model is assumed to be perfect and any systematic model errors (biases) which gradually accumulate in the short-range forecasts used in the data assimilation system (the first guess) are not taken into account. A version of 4D-Var which relaxes the assumption that the model is perfect, known as weak-constraint 4D-Var (WC-4DVar), has been run at ECMWF for some years, but without significant positive impact on analysis accuracy and forecast scores (Trémolet & Fisher, 2010). This has motivated the work reported in this article, which aimed to revise the operational WC-4DVar configuration to better mitigate the effect of model biases during the assimilation step.

Tests of the new WC-4DVar configuration have shown that analysis and first-guess temperature biases in the stratosphere are reduced by up to

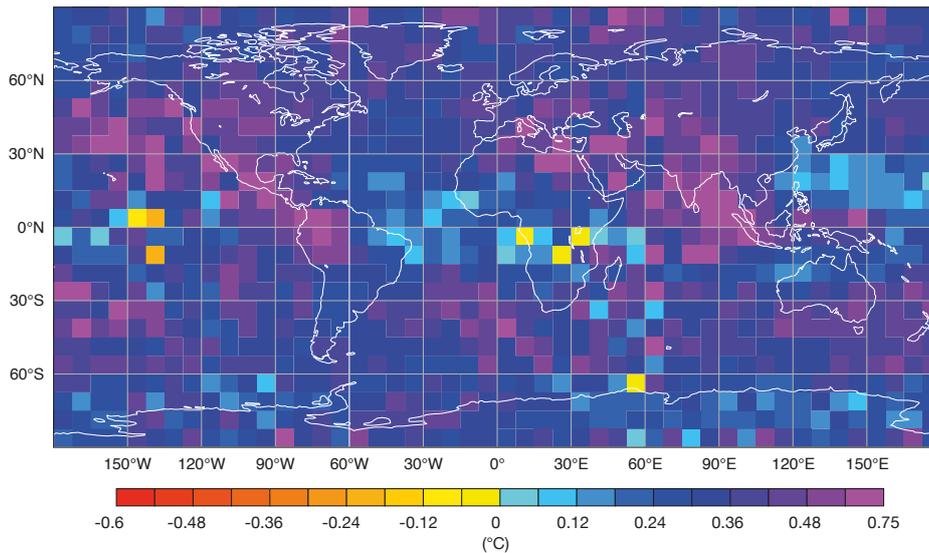
50%. In view of these results, the revised WC-4DVar will be implemented in the next upgrade of the Integrated Forecasting System (IFS Cycle 47r1) planned for later this year. The initial implementation is restricted to the stratosphere, but work is under way to extend WC-4DVar to the troposphere using deep learning methods.

### Identifying the problem

A standard approach to diagnosing the model error that develops during the data assimilation cycle is to compare the first-guess trajectory with accurate, unbiased observations. Figure 1 shows a time series of the difference between temperature retrievals from radio occultation satellite measurements and their model equivalents computed with the ECMWF operational first-guess trajectories. In the atmospheric layer between approximately 100 hPa and 10 hPa, the model is systematically colder than the observations (by about 0.6°C between 70 hPa and 10 hPa in 2019), while above 7 hPa the model is systematically warmer (up to about 0.6°C in 2019). This temperature bias of the model evolves over time due to changes in operational model cycles and partly due to seasonal variability. In March 2016, the bias increased when the horizontal resolution in high-resolution forecasts was increased from TL1279 (triangular-linear grid, corresponding to a grid spacing of about 16 km) to TCo1279 (triangular-cubic-octahedral grid, corresponding to a grid spacing of



**FIGURE 1** Successive model changes have led to large temperature biases in parts of the stratosphere in the short-range forecasts used in ECMWF's data assimilation system. The chart shows how such biases have evolved since 2014 as measured against temperature retrievals from radio occultation satellite data.



**FIGURE 2** Difference between radio occultation temperature retrievals and first-guess temperatures from ECMWF operations between 70 hPa and 100 hPa over the period 31 August 2018 to 31 January 2019.

about 9 km) (IFS Cycle 41r2). It increased again when the radiative model was revised in the July 2017 upgrade (IFS Cycle 43r3).

The radio occultation measurements provide near-homogeneous coverage that can be used to study the spatial structure of the model bias. Figure 2 shows the difference between temperature retrievals and first-guess trajectories from ECMWF operations between 70 hPa and 100 hPa from 1 October 2018 to 1 February 2019, when IFS Cycle 45r1 was operational. The model bias is predominantly cold with large-scale spatial variations. Colder biases are observed over areas of strong convection (e.g. Indonesia and Southern America). This could be linked to an insufficient representation of the effects of subgrid-scale gravity wave activity, which leads to missing momentum transfer from the troposphere to the stratosphere.

One of the main challenges in data assimilation is to attribute errors apparent from discrepancies between observations and the model to their proper sources.

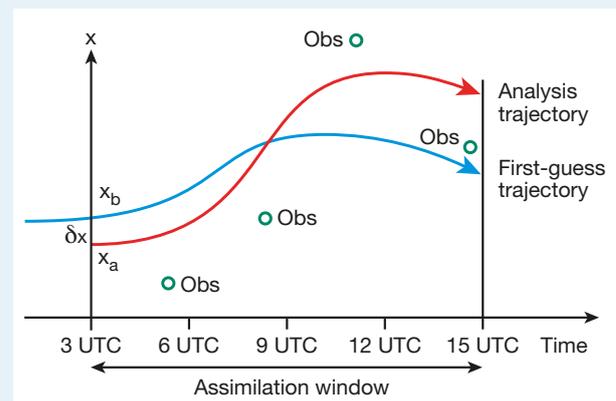
The diagnostic presented in Figure 2 suggests that model error which develops during the 4D-Var assimilation window contains identifiable large-scale structures, which are distinct from the small-scale errors in the first-guess initial conditions (the background) diagnosed by the Ensemble of Data Assimilations (EDA) system (Laloyaux et al., 2020a). Enforcing such scale separation in the errors that 4D-Var tries to correct opens a new perspective in the quest to disentangle model and background errors.

## Developing the solution

Traditionally, 4D-Var accepts the model as a strong constraint without taking into account possible biases (Box A). This strong-constraint formulation of 4D-Var is designed to take into account random, zero-mean errors from the model forecast and the observations. However, significant systematic errors are generated by the forecast models used in global numerical weather prediction. The IFS is no exception as the forecasts it produces have large stratospheric temperature biases.

### a Strong-constraint 4D-Var

Strong-constraint 4D-Var compares the trajectory of a short-range forecast with observations. The assimilation system iteratively adjusts the initial conditions of the short-range forecast (the ‘background’,  $x_b$ ) to compute a new analysis  $x_a$  that achieves a better compromise between model forecasts and observational data within the assimilation window. In the strong-constraint formulation, the model is assumed to be perfect and the only way to adjust its trajectory is by changing the initial conditions at the beginning of the assimilation window.



To deal with this type of error, a modification of the standard 4D-Var algorithm, called weak-constraint 4D-Var, was proposed and was implemented in operations at ECMWF in 2009. In the original implementation, the model error covariance matrix (which describes the error statistics of model bias) was built using differences between 12-hour ECMWF ensemble forecasts (ENS). The forecasts were initialised from the same initial states but were run with model error perturbations based on the Stochastically Perturbed Parametrization Tendency scheme (SPPT) and the Stochastic Kinetic Energy Backscatter scheme (SKEB). These model error parametrizations produce perturbations whose energy is higher at synoptic and sub-synoptic scales than at larger scales (Shutts et al., 2011). Consequently, the resulting model error covariance matrix contains length scales similar to the ones that dominate the background error covariance matrix. This situation made it impossible for weak-constraint 4D-Var to attribute model and background error to the correct source. For this reason, the original version of WC-4DVar was only implemented in the upper stratosphere, as a full application of the algorithm would have led to an unacceptable degradation of forecast scores. Thus, the impact of weak-constraint 4D-Var on ECMWF analyses and forecasts has always been small.

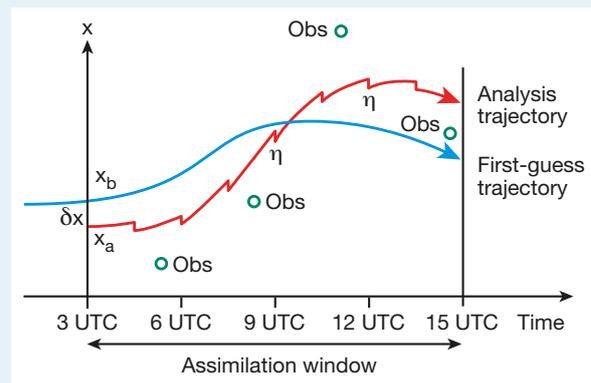
To overcome this problem, a new estimate of the model error covariance matrix has been computed from a climatology of the model error vectors estimated by the current weak-constraint 4D-Var (Laloyaux et al., 2020a). The correlation length scale from this new model error covariance matrix is more consistent with the patterns observed in Figure 2. However, some long-range spurious correlations have been corrected through the application of horizontal and vertical localisation. Specifically, the horizontal correlations are tapered down linearly to zero in the 2,500–5,000 km range. A similar approach is applied to vertical correlations by tapering them to zero in model space between 5 and 10 model levels. In this new implementation of weak-constraint 4D-Var, first-guess trajectory adjustments deal with large-scale temperature errors which vary on timescales longer than the assimilation window (i.e. longer than 12 hours), while state increments correct smaller-scale and more transient background errors (see Box B). This scale separation between the two types of errors is a necessary condition for weak-constraint 4D-Var to attribute model and background errors to their correct sources. This has been corroborated through theoretical considerations and experiments with a simplified quasi-geostrophic model (Laloyaux et al., 2020b). It has been shown that the forcing formulation of weak-constraint 4D-Var illustrated in Box B performs well if background and model errors vary over different spatial scales and if this is reflected in their respective error covariances.

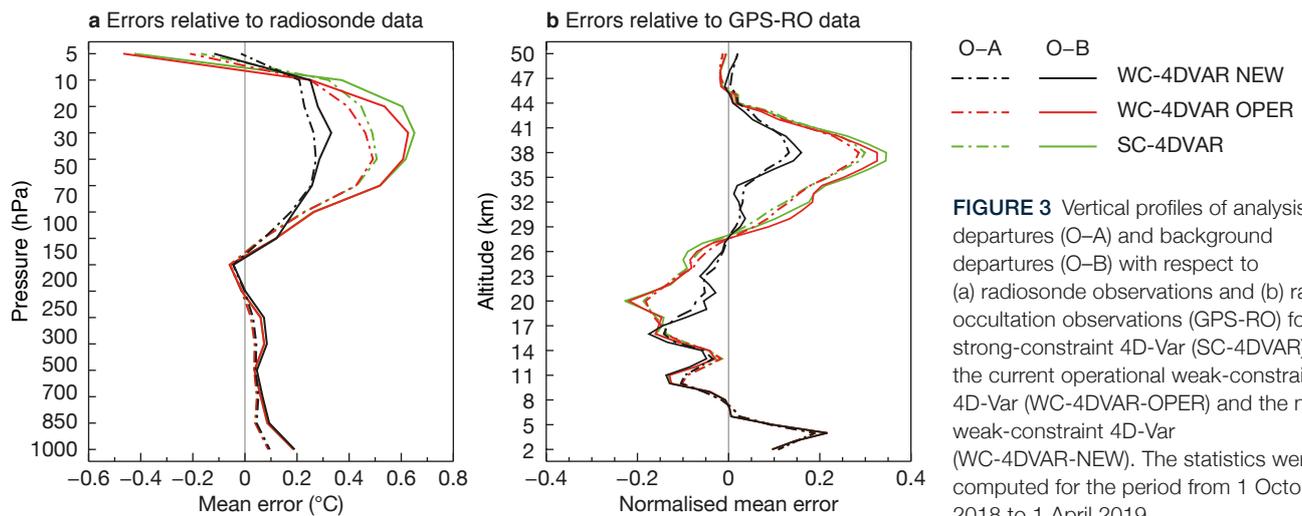
## A better analysis

We have carried out experiments to compare weak-constraint 4D-Var based on the new model error covariance matrix to the current weak-constraint 4D-Var configuration used in operations and to the strong-constraint 4D-Var configuration, in which no model error forcing is applied. All experiments were run at the operational high-resolution forecast (HRES) resolution (TCo1279) with 137 vertical levels and a model top pressure of 0.01 hPa. They were run from 1 October 2018 to 1 February 2019 using the standard 12-hour assimilation window and the background error covariance matrix estimates from the then operational 25-member EDA. Running these high-resolution experiments is computationally costly and the data throughput relatively slow. However, it is necessary to assess the impact of the new model error covariance

### b Weak-constraint 4D-Var

Weak-constraint 4D-Var introduces a forcing term  $\eta$  into the model to correct for the model bias which builds up in the model trajectory. Initially,  $\eta$  can be set to zero. In each data assimilation cycle, weak-constraint 4D-Var then optimises the fit of the short-range forecast trajectory to observations in two ways: by suitably changing the initial conditions (as in strong-constraint 4D-Var), and by suitably adjusting the forcing term  $\eta$ , which is applied at every model time step. In the case illustrated here, for a single parameter  $x$  (e.g. temperature), the forcing term  $\eta$  cools the trajectory at every time step to correct for the temperature warm bias in the model. In this formulation of 4D-Var, a model error covariance matrix which contains the error statistics of the model bias (i.e. standard deviations and spatial correlations) needs to be calculated offline. This matrix enters into the calculations through which the data assimilation system determines the optimal combination of initial state and forcing term adjustments.





**FIGURE 3** Vertical profiles of analysis departures (O–A) and background departures (O–B) with respect to (a) radiosonde observations and (b) radio occultation observations (GPS-RO) for strong-constraint 4D-Var (SC-4DVAR), the current operational weak-constraint 4D-Var (WC-4DVAR-OPER) and the new weak-constraint 4D-Var (WC-4DVAR-NEW). The statistics were computed for the period from 1 October 2018 to 1 April 2019.

matrix on HRES forecasts since the amplitude of the stratospheric bias is significantly bigger at increased resolution. All experiments were initialised on 1 October 2018 using the ECMWF operational analysis valid at that time. The model error term was cold started by setting it to zero in the first assimilation cycle.

Figure 3a shows vertical profiles of the mean analysis departures (observations minus analysis, O–A) and background departures (observations minus background, O–B) with respect to radiosonde data for strong-constraint 4D-Var (SC-4DVAR), the currently operational weak-constraint 4D-Var (WC-4DVAR-OPER) and the new weak-constraint 4D-Var (WC-4DVAR-NEW). In the weak-constraint experiments, the first-guess trajectory used to compute the background departures is corrected using the model error estimate from the previous assimilation. A smaller mean error in the background departures shows that the assimilation system is able to estimate and correct a significant amount of bias in the model. The weak-constraint 4D-Var currently used in operations corrects only a small fraction of the model bias over 40 hPa, while the new model error covariance matrix better corrects the diagnosed cold and warm biases of the model, reducing the mean error by up to 50%. Since radiosonde observations are available only up to 5 hPa, Figure 3b shows analysis and background departures with respect to radio occultation bending angles to assess the upper stratosphere. The results show that bias in the upper stratosphere located between 30 km and 45 km (11 hPa to 1.5 hPa) is also significantly reduced in the new system.

## Reducing biases in forecasts

In the experiments described thus far, the model error estimated by weak-constraint 4D-Var was only used to correct model integrations computed inside the assimilation cycle. This enables the assimilation system

to cycle forward in time what it has learned about the model bias and produces a better first-guess trajectory.

Plotting the difference between radio occultation temperature retrievals and the first-guess trajectory over different periods reveals that the spatial structure of the model error varies little over time (not shown). This means that the same model correction could be applied to control the bias in longer-range forecasts. Building on this idea, experiments have been carried out at low resolution (TCo399, corresponding to a grid spacing of about 25 km) and over an earlier period (20 May 2018 to 6 September 2018) to test the impact of extending the model trajectory adjustments applied in the new weak-constraint 4D-Var to 10-day forecasts. Figure 4 shows the mean error of the 10-day forecast initialised by the current weak-constraint 4D-Var, the new weak-constraint 4D-Var and the new weak-constraint 4D-Var with model trajectory adjustments in the forecast for temperature at 50 hPa in the northern hemisphere. Scores were aggregated over the period 1 June 2018 to 15 September 2018 and radiosonde observations were used for verification. The impact of using the new weak-constraint 4D-Var configuration can be seen in the analysis (lead time 0), where the mean error is decreased from  $-0.55^{\circ}\text{C}$  to  $-0.39^{\circ}\text{C}$ . Figure 4 shows that the improvement is maintained throughout the forecast. Nevertheless, the mean error increases significantly over time as the model converges towards its own cold-biased climate. By contrast, when the model trajectory adjustment estimated in weak-constraint 4D-Var is applied as a constant forcing term throughout the 10-day model integration, the mean error in the forecast barely increases over time. This shows that weak-constraint 4D-Var potentially has the capability to significantly reduce biases not only in the analysis but also in forecasts.

Meanwhile other steps to reduce forecast biases in the stratosphere are being taken. One of these is quintic

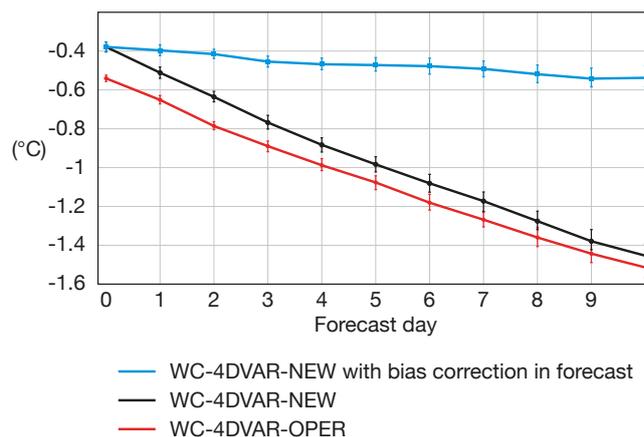
vertical interpolation (see separate article in this Newsletter), which will be implemented in IFS Cycle 47r1. Another is an increase in the number of vertical levels in ensemble forecasts from 91 to 137, which is planned to be implemented once ECMWF's next high-performance computing facility has become operational in Bologna.

## Outlook

There are not only biases in the model, but many conventional and satellite observation departures show systematic errors due to instrument configuration, approximations in radiative transfer calculations and other causes. To take these observational biases into account, a variational bias correction scheme (VarBC) has long been used in the 4D-Var system to estimate observation biases by finding corrections that minimise the systematic analysis observation departures. The choice of bias predictor determines the type of corrections that can be made, and VarBC has shown some skill in distinguishing between observation and model biases.

There is a clear interaction between weak-constraint 4D-Var and VarBC. As the systematic model error is better represented and corrected in the new weak-constraint 4D-Var, the VarBC correction should become smaller. The selection of the predictors for the different channels for each instrument was made many years ago based on tests in the strong-constraint 4D-Var framework. It should now be revisited for the channels sensitive to stratospheric temperature. More specifically, the usefulness of including air-mass predictors should be reassessed. Reducing the number of predictors might also help to reduce the VarBC inertia and the resulting long spin-up time. There is also a longer-term need to understand how many anchoring observations are required by VarBC and weak-constraint 4D-Var to correctly identify the sources of biases.

In principle, weak-constraint 4D-Var is able to eliminate biases not only in the analysis but also in forecasts. Results show that stratospheric temperature model bias in the IFS has little temporal variability, at least in the medium range (up to 10 days ahead). This property has allowed us to apply the same model error forcing over the whole 10-day forecast to correct the temperature model drift in the stratosphere towards the model's climatology. It is unclear to what extent such an approach will work for longer forecast ranges (monthly to seasonal). It is conceivable that some spatial and temporal variability will need to be introduced in the model error forcing. This could be done, for example, by computing the mean and standard deviation of the model error estimates over different seasons to create time-dependent forcings.



**FIGURE 4** Mean error of the 10-day forecast initialised by the current weak-constraint 4D-Var, the new weak-constraint 4D-Var and the new weak-constraint 4D-Var with bias correction in the forecast for temperature at 50 hPa in the northern hemisphere extratropics (20–90°N). The errors were calculated with reference to radiosonde observations over the period 1 June 2018 to 15 September 2018. The vertical bars indicate 95% confidence intervals.

Weak-constraint 4D-Var also has potential applications for climate reanalyses produced at ECMWF. Spurious climate signals are often introduced in reanalyses when a new type of observation is assimilated over a region which was previously poorly observed. Reducing model bias is critical as it will reduce such jumps in climate data records. The EU-funded Copernicus Climate Change Service (C3S) implemented by ECMWF is planning the production of atmospheric model integrations over the 20th century. Model simulations of the past using prescribed observationally based forcings and boundary conditions provide an important tool for understanding and estimating climate change. The model bias estimated by weak-constraint 4D-Var over a recent well-observed period could be used as a forcing throughout the whole century to reduce the impact of model drift on the reanalysis of earlier periods.

## Further reading

**Laloyaux, P., M. Bonavita, M. Dahoui, J. Farnan, S. Healy, E. Holm & S. Lang**, 2020a: Towards an unbiased stratospheric analysis. Accepted for publication by *QJRMS*.

**Laloyaux, P., M. Bonavita, M. Chrust & S. Gürol**, 2020b: Exploring the potential and limitations of weak-constraint 4D-Var. Under review by *QJRMS*.

**Shutts, G., M. Leutbecher, A. Weisheimer, T. Stockdale, L. Isaksen & M. Bonavita**, 2011: Representing model uncertainty: stochastic parametrizations at ECMWF, *ECMWF Newsletter No. 129*, 19–24.

**Trémolet, Y. & M. Fisher**, 2010: Weak constraint 4D-Var, *ECMWF Newsletter No. 125*, 12–16.

# Quintic vertical interpolation improves forecasts of the stratosphere

Inna Polichtchouk, Michail Diamantakis, Filip Vaňa

**E**CMWF has over the years repeatedly increased the horizontal resolution of its forecasts to today's grid spacing of 9 km in high-resolution forecasts (HRES) and 18 km in ensemble forecasts (ENS). The resolution increases have greatly improved forecast quality in most parts of the atmosphere but have led to unphysical cooling in the lower to mid-stratosphere. This unphysical model behaviour arises from numerical errors accumulating due to insufficient vertical resolution in the stratosphere. In ECMWF's Integrated Forecasting System (IFS), fifth-order (quintic) vertical interpolation offers a cost-effective alternative to increasing the vertical resolution. Tests have shown that it leads to more physical model behaviour, reduced sensitivity to horizontal resolution, and better forecast skill in the lower to mid-stratosphere. It will therefore be implemented in the next upgrade of the forecasting system to IFS Cycle 47r1 planned for later this year.

## Motivation

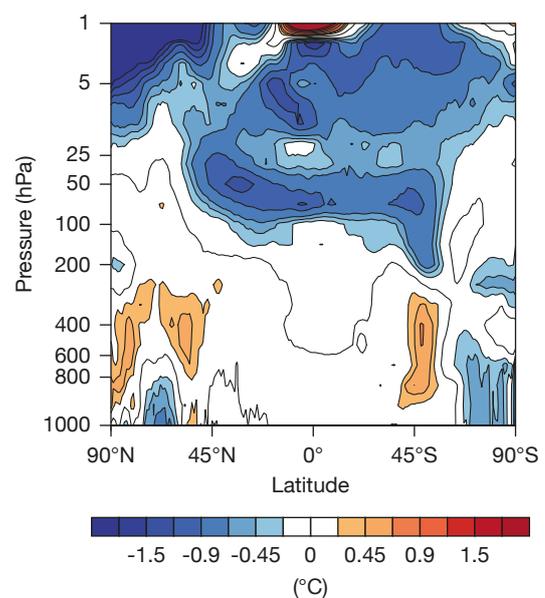
Accurately representing the stratosphere in a numerical weather prediction (NWP) model is important mainly for two reasons. The first is the need for successful data assimilation, i.e. the combination of observations with model information to obtain the best possible estimate of the state of the Earth system at the start of forecasts. In the stratosphere, high-quality model information in the data assimilation system is particularly important since here in-situ observations are relatively sparse and the weighting of satellite data is greatly influenced by model information. The second reason is that variability in the winter- and spring-time stratosphere can influence tropospheric weather patterns. This provides a potential for enhanced tropospheric predictability weeks and months after e.g. sudden stratospheric warming events and spring-time polar vortex breakdown events. Therefore, there has been a renewed impetus in recent years to understand and improve the performance of the IFS in the stratosphere.

The IFS suffers from a number of stratospheric temperature biases. In particular, the lower to mid-stratosphere is biased cold and the uppermost

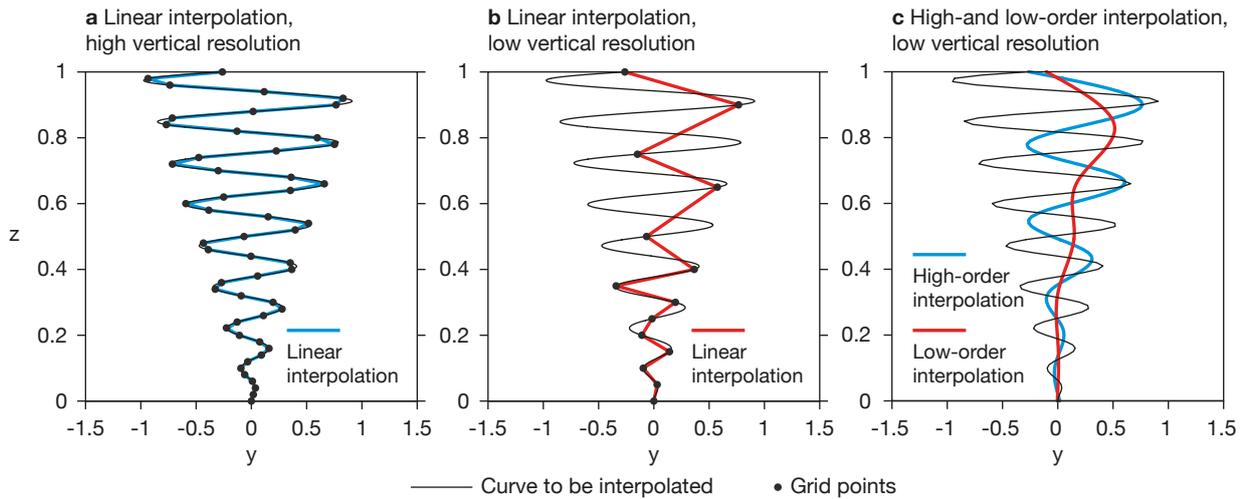
stratosphere is biased warm. These biases are found to be sensitive to horizontal resolution. At higher horizontal resolution, the cold bias in the lower to mid-stratosphere is exacerbated and the warm bias in the uppermost stratosphere is alleviated. This is because the whole stratosphere as modelled in the IFS experiences cooling when horizontal resolution is increased (Figure 1). Such sensitivity to horizontal resolution is highly undesirable for model development and especially for the 4D-Var data assimilation system, in which different calculations are performed at different horizontal resolutions.

## Identifying and solving the problem

The cooling that occurs when horizontal resolution is increased does not arise due to any misrepresentation of physical processes, such as gravity wave drag or mass transport circulation. Instead, it is due to numerical errors that accumulate in the dynamical core (the part of an NWP model in which differential equations that describe atmospheric dynamics are



**FIGURE 1** Difference in zonally (latitudinally) averaged temperature between forecasts at TCo1279 horizontal resolution (corresponding to a grid spacing of about 9 km) and TL255 horizontal resolution (corresponding to a grid spacing of about 79 km). Mean values over 31 forecasts starting in December 2017 and valid at day 10 are shown. Blue colours indicate that high horizontal resolution forecasts are colder.



**FIGURE 2** Schematic illustrating the effects of different vertical resolutions and higher- and lower-order vertical interpolation on representations of a wave given by  $y = z \sin(50z)$ , showing (a) highly accurate linear interpolation based on a large number of grid points in the vertical, (b) much less accurate linear interpolation based on a small number of grid points in the vertical and (c) relatively accurate higher-order interpolation and much less accurate lower-order interpolation based on a small number of grid points in the vertical. It can be seen that higher-order vertical interpolation better captures the wave structure than lower-order interpolation. The larger spacing of grid points in (b) with increasing altitude is similar to what is done in the IFS.

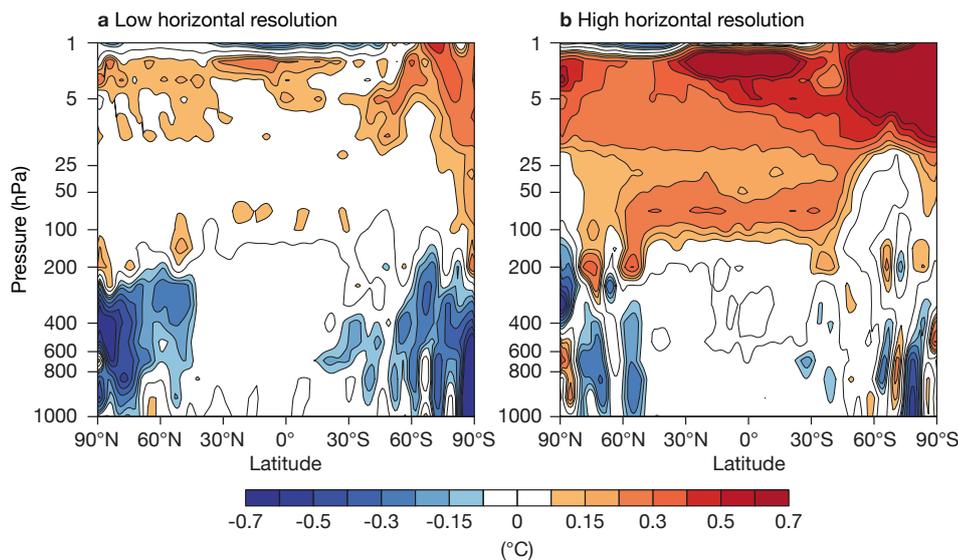
solved) when vertical resolution is not increased together with horizontal resolution. As the horizontal resolution increases, smaller-scale waves are resolved in the horizontal direction. Some of these waves have vertical wavelengths that cannot be resolved with the existing vertical resolution. Therefore, representing them in the vertical direction poses a challenge when vertical resolution is not appropriately increased as well (see e.g. Lindzen & Fox-Rabinovitz, 1989). The errors manifest themselves as unrealistic oscillations in the vertical direction in the temperature field at the scale of the vertical grid used in an NWP model. A schematic of how this happens is shown in Figure 2. As a result, at a fixed vertical resolution, high horizontal resolution forecasts in the stratosphere are less realistic than low horizontal resolution forecasts.

A possible solution to the cooling problem is to increase vertical resolution when increasing horizontal resolution. The need for an increase in vertical resolution is particularly

great in the stratosphere. Here, vertical resolution in the IFS is much coarser than in the troposphere. However, increasing vertical resolution is computationally expensive, and therefore cheaper alternatives have been explored to alleviate cooling in the stratosphere at high horizontal resolution (see Polichtchouk et al., 2019, for a detailed discussion). It was found that increasing the order of accuracy of vertical interpolation of the temperature field in the semi-Lagrangian advection (see Box A) alleviates the cooling problem. In particular, moving from third-order (cubic) to fifth-order (quintic) vertical interpolation reduces unphysical cooling at high horizontal resolution in the stratosphere with little impact at low horizontal resolution (Figure 3). As a result of this finding, quintic vertical interpolation of the temperature field and of the closely thermodynamically related specific humidity field will be implemented in the next IFS cycle. A historical perspective on unrealistic temperature oscillations in the stratosphere in the IFS and how they were addressed can be found in Box B.

## a Interpolation in semi-Lagrangian advection

To determine the state of a field at a given point in time as air parcels are transported around (advected), the semi-Lagrangian technique finds departure and arrival points of air parcels and interpolates the values of the transported field based on grid points near the departure and arrival points. In the current operational IFS dynamical core, in the vertical and horizontal direction a quasi-cubic interpolation is used, which means that a Lagrange polynomial of degree 3 interpolates a field using 4 neighbouring points. With quintic vertical interpolation, a Lagrange polynomial of degree 5 interpolates a field using 6 neighbouring points. A schematic illustrating higher-order interpolation is shown in Figure 2c. Higher-order interpolation ensures that waves are better represented. Therefore, increasing the order of vertical interpolation can serve as a substitute for increasing the number of vertical levels.



**FIGURE 3** Differences in zonally (latitudinally) averaged temperature between quintic and cubic vertical interpolation forecasts for (a) TL255 horizontal resolution (corresponding to a grid spacing of about 79 km) and (b) TCo1279 horizontal resolution (corresponding to a grid spacing of about 9 km). Mean values over 31 forecasts starting in July 2017 and valid at day 10 are shown. The plots show that quintic vertical interpolation warms the stratosphere more at high horizontal resolution. Closer investigations have shown that the cooling seen in these plots in the troposphere at high latitudes has no significant effect on forecast performance (see also Figures 4 and 5).

## Impact on forecast skill scores

Since quintic vertical interpolation warms the stratosphere, forecast scores improve in the lower to mid-stratosphere, where the model is biased cold, and they deteriorate slightly in the uppermost stratosphere, where the model is biased warm. This slight degradation is acceptable compared to the overall benefits that quintic vertical interpolation brings (i.e. increased physical realism of the model and reduced horizontal resolution sensitivity). The impact of quintic vertical interpolation on HRES medium-range forecast scores is shown in Figures 4 and 5 for root-mean-square error of temperature and geopotential height verified against the operational analysis. The figures show that medium-range forecast scores in the lower to mid-stratosphere improve as a result of quintic vertical interpolation, with error reductions in geopotential height of up to about 45%. Moreover, Figures 4c,d and 5c,d show that this improvement can also be seen in the 47r1 test suite. In addition to quintic vertical interpolation, the future IFS Cycle 47r1 incorporates other model changes, such as weak-constraint 4D-Var, which has a positive impact on the analysis in the stratosphere (see separate article in this Newsletter). There is thus a positive impact on medium-range forecast scores in the stratosphere as a result of quintic vertical interpolation even when other model changes are incorporated. There is also some indication that the forecast skill scores of total column water vapour improve as a result of quintic vertical interpolation on the specific humidity field (not shown). As quintic vertical interpolation mainly affects high horizontal resolution forecasts, the skill improvement is most pronounced at high horizontal resolution.

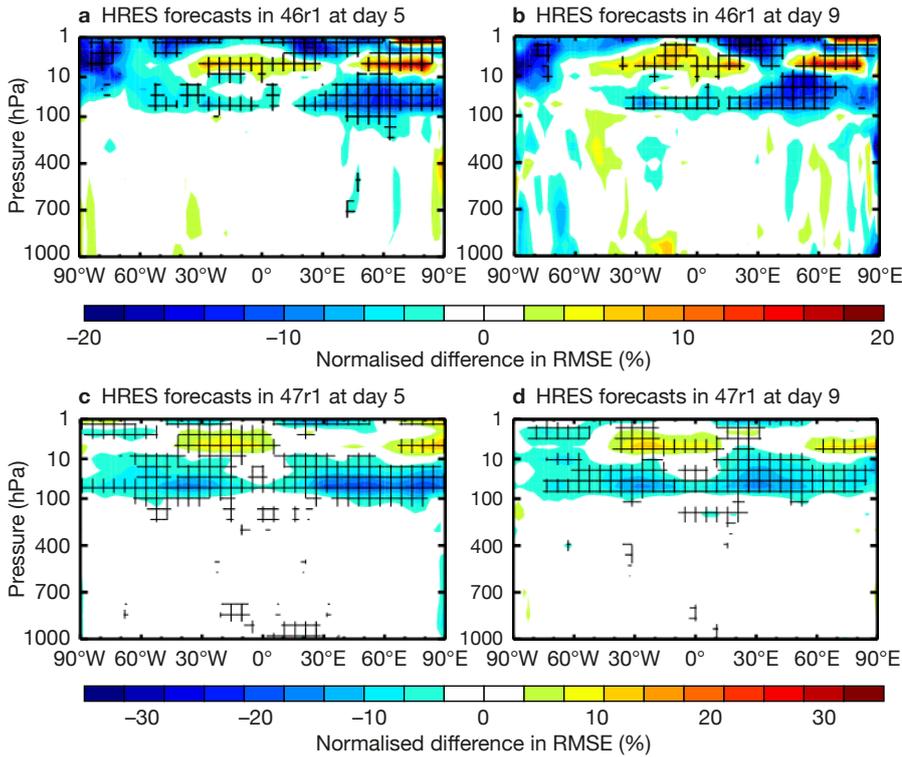
## Outlook

While quintic vertical interpolation alleviates unphysical cooling in the stratosphere at high horizontal resolution, it does not completely eliminate

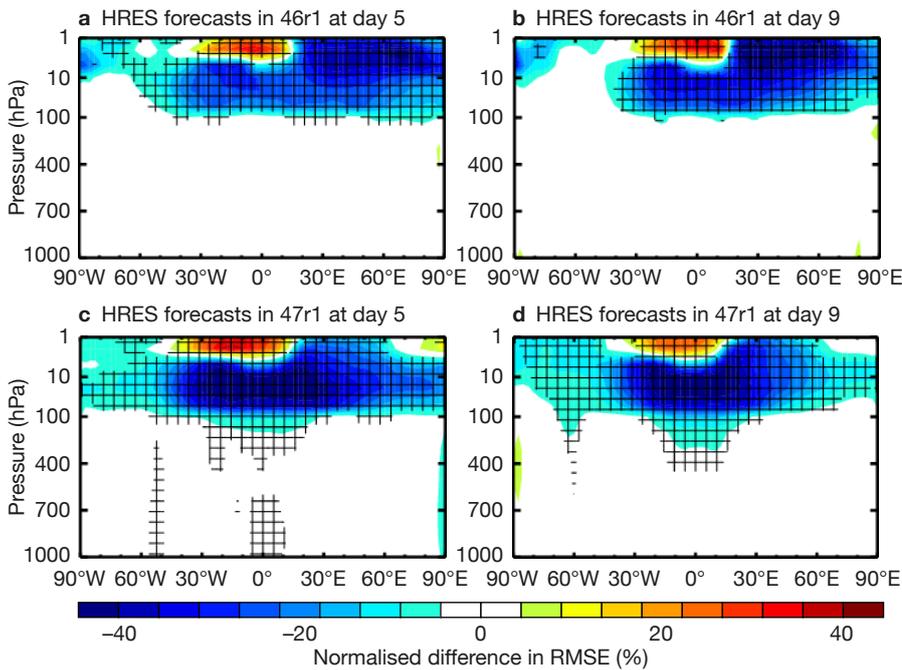
## b Historical perspective

To give a historical perspective, stratospheric cooling due to grid-scale oscillations in the vertical direction was a larger problem in the IFS decades ago, when the finite difference method with Lorenz staggering was used to discretise the model in the vertical direction. It is well known that this configuration results in grid-scale noise in the vertical (Arakawa & Moorthi, 1988), leading to cooling in the IFS. This grid-scale noise, and therefore stratospheric cooling, was greatly reduced by the introduction of a vertical finite element scheme in the hydrostatic IFS in 2003 (Untch & Hortal, 2004).

it. A filter that damps the grid scale noise in the vertical direction is under investigation to further reduce horizontal resolution sensitivity of temperature. As near grid-scale temperature oscillations are not believed to be important meteorologically, filtering them out altogether should not adversely impact the performance of the model. The warming in the stratosphere resulting from quintic vertical interpolation and/or a vertical filter does have a negative impact on forecast skill in the uppermost stratosphere. But once the physical realism of the model is improved, this can be tackled via changes to e.g. the UV spectrum in the radiation scheme, which is uncertain at these altitudes. Finally, it is worth emphasising that the planned vertical resolution upgrade of ensemble forecasts from 91 to 137 vertical levels once the new ECMWF data centre in Bologna, Italy, is operational will also substantially improve the representation of the stratosphere in ENS forecasts.



**FIGURE 4** Change in root-mean-square error (RMSE) of temperature forecasts when moving from cubic to quintic vertical interpolation for (a) HRES forecasts in July 2017 at day 5, (b) HRES forecasts in July 2017 at day 9, (c) HRES forecasts in the IFS Cycle 47r1 test suite at day 5, i.e. with weak-constraint 4D-Var, for 20 July to 19 December 2019, and (d) HRES forecasts in the IFS Cycle 47r1 test suite at day 9, i.e. with weak-constraint 4D-Var, for 20 July to 19 December 2019. The operational analysis in IFS Cycle 46r1, i.e. without weak-constraint 4D-Var, was used for verification in all cases. In (c) and (d), the results are similar when the 47r1 test suite analysis is used, i.e. with weak-constraint 4D-Var. Blue colours indicate that errors are smaller when quintic vertical interpolation is used. Hatched areas indicate statistical significance at an estimated 90% confidence level.



**FIGURE 5** Change in root-mean-square error (RMSE) of geopotential height forecasts when moving from cubic to quintic vertical interpolation. The panels (a) to (d) show the same experiments as detailed for Figure 4. Blue colours indicate that errors are smaller when quintic vertical interpolation is used. Hatched areas indicate statistical significance at an estimated 90% confidence level.

## Further reading

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# The new capabilities of ECMWF’s product dissemination system

Matthias Zink, Meghan Plumridge

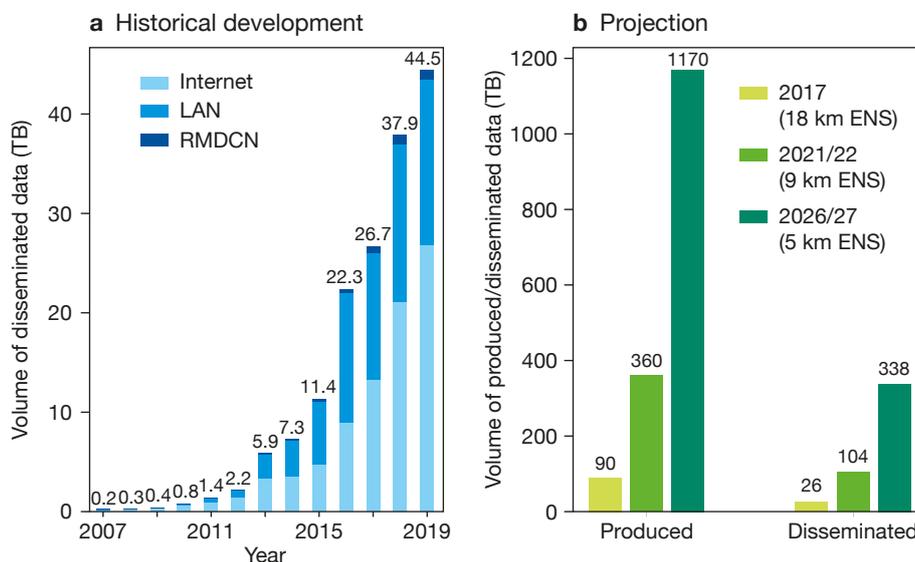
**E**CMWF’s product dissemination system delivers approximately 240,000 post-processed files (about 45 terabytes) daily to ECMWF’s different users. Two important parts of the dissemination system are the Product Requirements Editor, in which users make data requests, and the Product Generation System, which tailors data in line with those requests. A few years ago, a major review of the product dissemination system identified key areas for improvement in these two components in order to better serve our Member and Co-operating States and other users in the future. Addressing these key issues has led to significant gains in computational efficiency, a more reliable and robust service as well as improvements in the user interface including new features. It has also prepared the ground for the migration of the product dissemination system to the new data centre in Bologna. Improvements in a third component of the product dissemination system, the ECMWF Production Data Store (ECPDS), were implemented earlier and are described in a previous Newsletter article (Gougeon, 2019). The new product dissemination system was implemented and is supported by a wide range of ECMWF staff, including user support, development, production and computing specialists.

## Motivation

An important issue that motivated the review was scalability, especially when looking at the growth of produced and disseminated data volumes over recent years. Figure 1a shows the growth in dissemination volume over the last 13 years. Some of the bigger jumps can be attributed to model resolution upgrades, as in 2013 and 2016. Others, however, are simply driven by increasing demand for data from Member and Co-operating States and other users. One such jump was caused by making high-frequency products available to commercial users in 2018 (Box A). Overall, we can see exponential growth in the volume of data disseminated between 2007 and 2019. This growth is projected to continue in the future: it is estimated that the volume of meteorological data produced will reach the petabyte scale by 2026/27 (Figure 1b). The volume of data disseminated to users will increase accordingly. This growth, shown in Figure 1b, is an estimate based on expected model resolution upgrades only, without considering possible increasing data demand from users or growth in the user community.

## ECMWF’s product dissemination system

The previous product dissemination system was first implemented in 1999 (see Jokić, 1999, for details). It has evolved over the years to provide an increasing volume of numerical weather prediction products to a growing



**FIGURE 1** The development of (a) data volumes disseminated via different networks, i.e. the Internet, the Local Area Network (LAN), and the Regional Meteorological Data Communication Network (RMDCN), and (b) the projected volume of all data produced and disseminated by ECMWF’s different forecasts assuming an increase in the resolution of ENS forecasts expected to be implemented by 2026/27.

number of users. Today it consists of three main components: the Product Requirements Editor, the Product Generation System (pgen) and ECMWF's Production Data Store (Figure 2). The Product Requirements Editor is a web application which allows users to define their real-time data requests (product requirements). These requirements are picked up before each run of the Product Generation System. Product generation is triggered by the progress of the respective forecast and tailors model outputs according to product requirements. The user-tailored products are then transferred to ECMWF's Production Data Store (ECPDS), which disseminates them according to a fixed schedule via three available networks: the Regional Meteorological Data Communication Network (RMDCN), the Internet and the Local Area Network (LAN). Currently, we disseminate about 45 terabytes (TB) per day, of which 30 TB are pushed outside ECMWF while the remainder is stored locally. The data disseminated via LAN are usually data for Member and Co-operating States that post-process these products in-house.

The product dissemination system is run four times a day (at 00 UTC, 06 UTC, 12 UTC, and 18 UTC) for high-resolution and ensemble forecasts (HRES and ENS); twice a week (on Mondays and Thursdays) for extended-range ensemble forecasts and re-forecasts; and once a month for seasonal forecasts. The product dissemination system is in the time-critical path to ensure the timely delivery of products to our users. It is closely monitored by ECMWF's operators and is supported on a 24/7 basis by analysts working on this system. To ensure resilience, the system can be easily

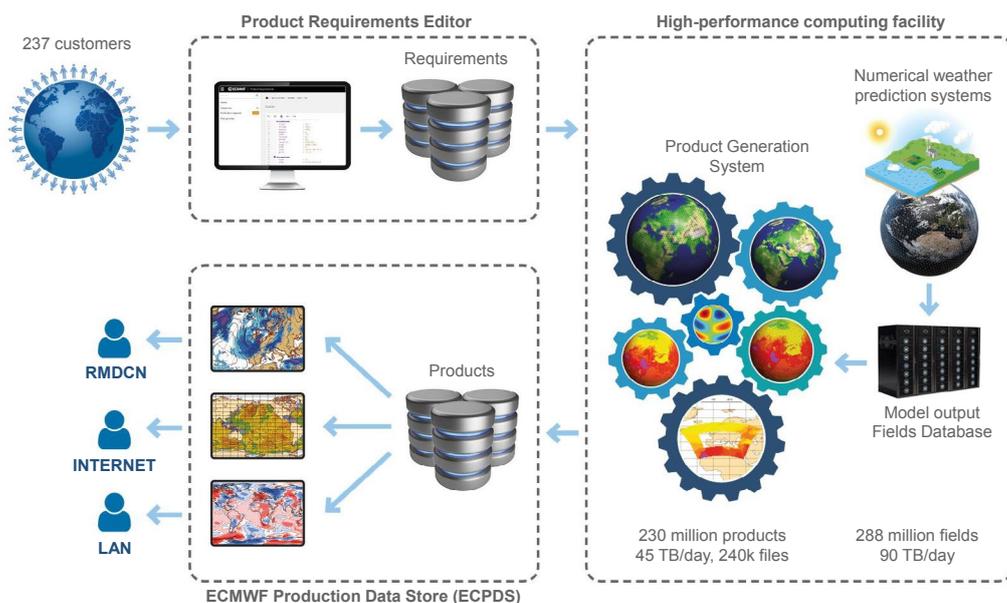
## a High-frequency products

ECMWF's high-frequency products are hourly weather forecast products that are generated four times a day (06, 12, 18, 00 UTC) 90 hours ahead in addition to the core products, which are generated at 00 and 12 UTC as 3- and 6-hourly data up to day 10 for the high-resolution forecast (HRES) and day 15 for the ensemble forecast (ENS). The high-frequency data come from ECMWF's Boundary Conditions Optional Programme, which was established in 1991. The programme's primary purpose is to make such data available to ECMWF's Member and Co-operating States to use as boundary conditions for their limited-area models. Since October 2018, data from the programme have been available on request to all real-time data licence holders.

transferred within minutes between ECMWF's two high-performance computing clusters and between the two operational high-performance file systems (Hawkins & Weger, 2015). The functions of the main components of ECMWF's product dissemination system and the reasons for upgrading them are summarised in Table 1.

## The new Product Requirements Editor

Users of ECMWF real-time data can configure and maintain their product requirements via the recently developed Product Requirements Editor (PREd), which



**FIGURE 2** The main tasks of the product dissemination system are run on independent IT infrastructures. The Product Requirements Editor is launched on ECMWF web servers; the Product Generation System, comprising the workflow manager (ecFlow suite) and the product generation application, runs on the high-performance computing facility using the high-performance file system; and ECMWF's Production Data Store (ECPDS) uses its own dedicated hardware.

Component	Function	Motivation for upgrading
<b>1. Product Requirements Editor</b>	Interface that allows users to configure their data requirements	Develop a comprehensive and robust tool for configuring user requirements easily
<b>2. Product Generation System software</b>	User-tailored post-processing of weather forecast outputs	Better scalability of the application, leading to a more efficient use of computational resources and improved robustness
<b>3. Product Generation System workflow manager (ecFlow suite)</b>	Orchestrates the components of ECMWF's dissemination system	Easier to understand workflows, allowing ECMWF to respond as fast as possible in the time-critical operational environment

**TABLE 1** Improved components of the product dissemination system.

consists of a web interface and validation software (Figure 3). It has replaced the previous dissemination requirements tool, which had similar functionalities. The new Product Requirements Editor was released to users in February 2020. The PRed is available to ECMWF Member and Co-operating States as well as national meteorological and hydrological service (NMHS) licence holders and maximum charge commercial licence holders.

The PRed interface includes new features to assist users in the management of their real-time data requirements, including:

- autocompletion
- product requirement templates
- version history
- comparison between current requirements and previous versions
- publication requests for commercial customers.

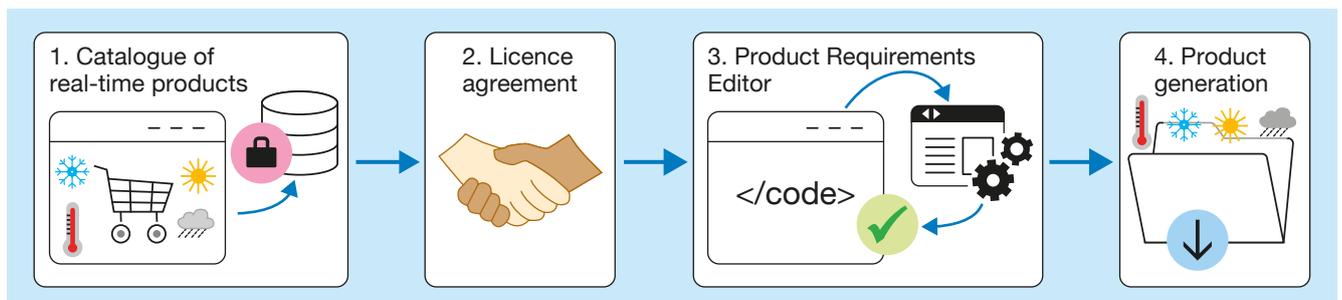
The new validation software ensures that only

accessible forecast data can be configured for real-time dissemination, preventing ‘faulty’ requirements from being fed to the Product Generation System. The validation tool checks the requirements against several catalogues, depending on the type of user. For example, a commercial customer may not have access to high-frequency products, whereas an NMHS non-commercial user could be licensed to access these additional products.

The Product Requirements Editor, together with future web applications, will streamline the real-time data requesting and delivery process for users and the Data Services Team at ECMWF by allowing users to modify their product requirements. This will improve the turnover for implementing modified or new product requirements in ECMWF’s production system.

### *The new Product Generation System*

The Product Generation System consists of two main components. The workflow manager (ecFlow suite) and the product generation software. ECMWF has rewritten both components from scratch, based on the experience gained with the previous system over the



**FIGURE 3** Schematic illustrating how users interact with the Products Requirements Editor. Prospective users can browse ECMWF’s real-time product catalogue. This web-based catalogue reflects the forecast products that are available for dissemination. Before getting access to the products, the user has to acquire a licence. The agreed data is configured in the PRed. The requirements are validated against the available products and cross-checked with the licence. For example, not all users have access to high-frequency products. The Product Generation System uses the product requirements to generate user-tailored data.

last 20 years. The task at hand was challenging as the Product Generation System is very I/O intensive and thus requires significant resources on the high-performance computing facility. The Product Generation System had to be thoroughly redesigned to interact with forecasts such that it will start generating products as soon as the respective forecast step is written to the Fields Database (Figure 2; for more details on the Fields Database, see Quintino et al., 2019). This had to be achieved without adverse effects on the I/O performance of the forecasting system.

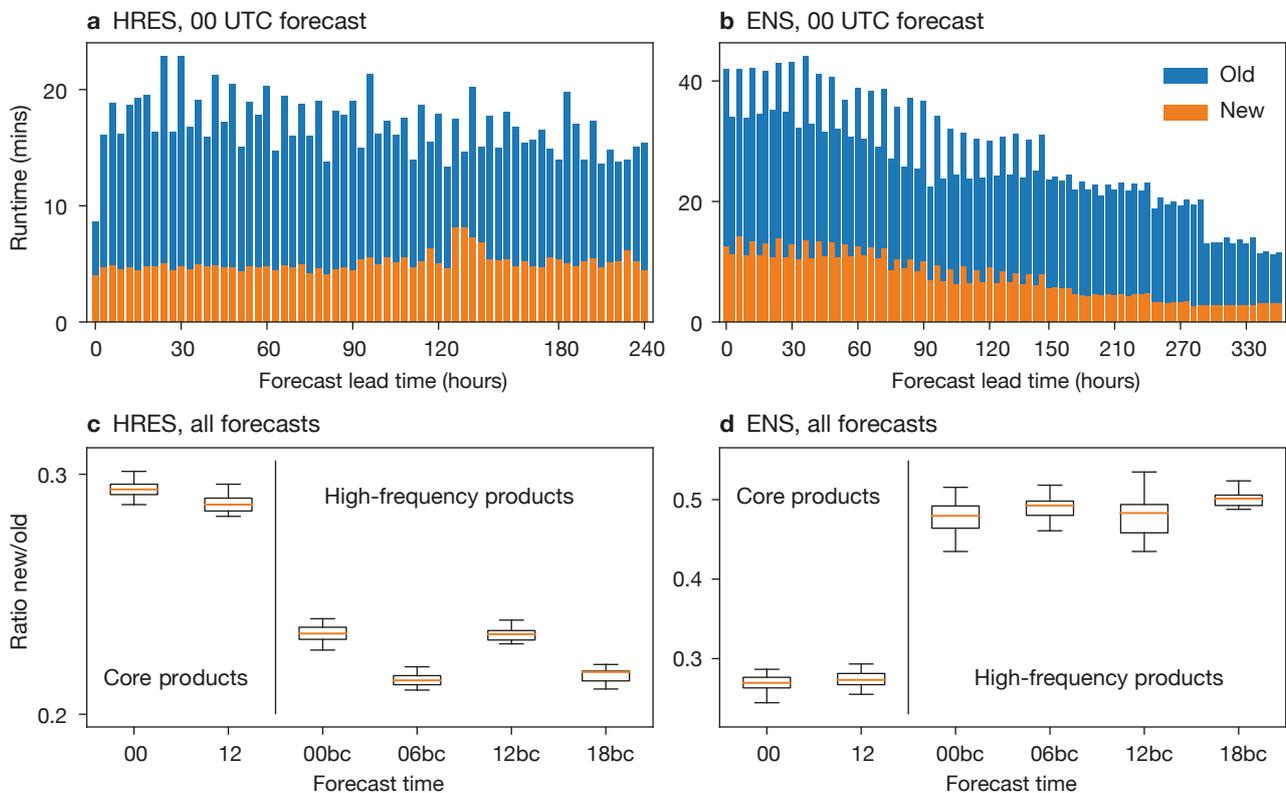
The upgrade of the workflow management software involved redesigning the workflow itself and rewriting all scripts which are part of the workflow manager and job scheduler. We used a newly developed in-house python-based library (PyFlow), which handles the generation of the workflow and scheduling scheme (ecFlow suite) as well as the generation of the respective task scripts.

The new product generation software has been developed over the last few years. The aim was to replace the previous product generation software, which was based on the interpolation package EMOSLIB. This interpolation library was decommissioned in our production systems in early 2019. Its successor is the

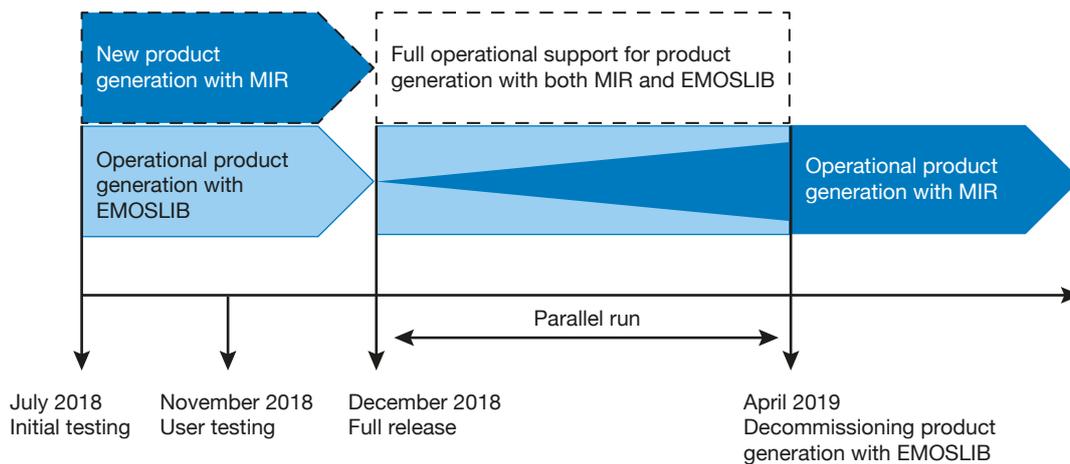
newly developed Meteorological Interpolation and Regridding (MIR) library (Maciel et al., 2017). The new product generation software takes full advantage of this new library and was designed to be more robust and performant. Major performance improvements were achieved by:

- introducing a producer–consumer pattern in the highly parallelised application
- streamlining the processing of data requests, as shown in Figure 2 of Maciel et al. (2017)
- reducing the number of I/O operations due to the implementation of parallelized writing of output files on dedicated CPUs.

These improvements made user-tailored post-processing of different forecasts more scalable and efficient. Comparing the runtimes between the old and the new product generation shows a big reduction in the time required to generate the same set of products, using the same computational resources (Figure 4). The new product generation runtimes for the core HRES and ENS forecasts (00 UTC and 12 UTC forecast runs) are less than a third of the old product generation runtimes. The improvement in runtime for high-



**FIGURE 4** Product Generation System runtime comparisons between the new and the old system, showing (a) HRES product generation runtimes on a representative day (17 December 2018) for the 00 UTC forecast, (b) ENS product generation runtimes for the same day and forecast time, (c) the spread of the averaged ratios of runtimes for all forecast steps of the respective HRES forecast, e.g. 00 UTC as shown in panel a, over 24 days in December 2018, and (d) the same as (c) but for ENS forecasts. The boundary-condition programme/high-frequency data (see Box A) are marked ‘bc’.



**FIGURE 5** Migration path from the old to the new product generation.

frequency ENS products (00 UTC, 06 UTC, 12 UTC and 18 UTC forecast runs, labelled ‘bc’ in Figure 4) is smaller compared to all other products (all HRES and ENS core products) due to different write rates in the new product generation (write rates not shown). The write rates of the ENS high-frequency products are roughly half those of the latter products. This is likely due to competing demands on the high-performance file system: the ENS high-frequency products are generated in parallel to the HRES forecast and product generation, whereas the product generation of the core ENS products is delayed until the main workload of the HRES forecast is finished. This delay was deliberately introduced to avoid a too high load on the file system, which can potentially slow down all forecasts and product generation. However, runtime is still halved for high-frequency ENS products compared to the old product generation system.

## Seamless migration

An important design criterion for the new Product Generation System was to minimise the impact on users when migrating them from the old to the new system. For that reason, ECMWF dedicated significant resources to running the new and the old Product Generation Systems in parallel over a period of 10 months (Figure 5). The new Product Generation System was integrated into ECMWF’s pre-operational environment for internal testing and scalability experimentation in July 2018. Selected users were given early access to

the new products in November 2018 in order to assess potential impacts. The system became fully operational in December 2018 after positive feedback from our test users. By incrementally moving users from the old to the new product generation system over a five-month period, we ensured a seamless transition to the new system. Running both systems in parallel was technically challenging as both are heavily I/O intensive, as described above. Additionally, we facilitated parallel dissemination of data via a second instance of ECPDS, thus providing users with the infrastructure to test their systems with data from the new product generation. Upon successful testing and user migration, we phased out the production of backup data from the old product generation. The smooth transition to the new product generation was appreciated by our users.

## Outlook

We expect that ECMWF’s upgraded dissemination system will provide a more reliable and robust service, decreasing the number of delays in product dissemination compared to the previous system. We will continue to improve the quality of the dissemination service by applying state-of-the-art log management paired with data analytics. This will enable our analysts to identify potential issues early and to identify patterns. The new tools will facilitate a proactive problem-solving approach and minimise negative impacts on our users.

## Further reading

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## HPC2020 – ECMWF’s new High-Performance Computing Facility

Mike Hawkins, Isabella Weger

**E**CMWF’s High-Performance Computing Facility (HPCF) is at the core of its operational and research activities and is upgraded on average every four or five years. As part of the HPC2020 project, ECMWF has recently concluded a contract for its new system, made up of four Atos Sequana XH2000 clusters that will deliver about five times the performance of the current system, made up of two Cray XC40 clusters.

### The HPC2020 project

Replacing ECMWF’s HPCF is a multi-year effort. The HPC2020 project started as early as 2017 with the development and approval of a business case, followed by an international procurement which was concluded at the end of 2019. The implementation phase of HPC2020 started in early 2020 (Figure 1).

### Business case and procurement process

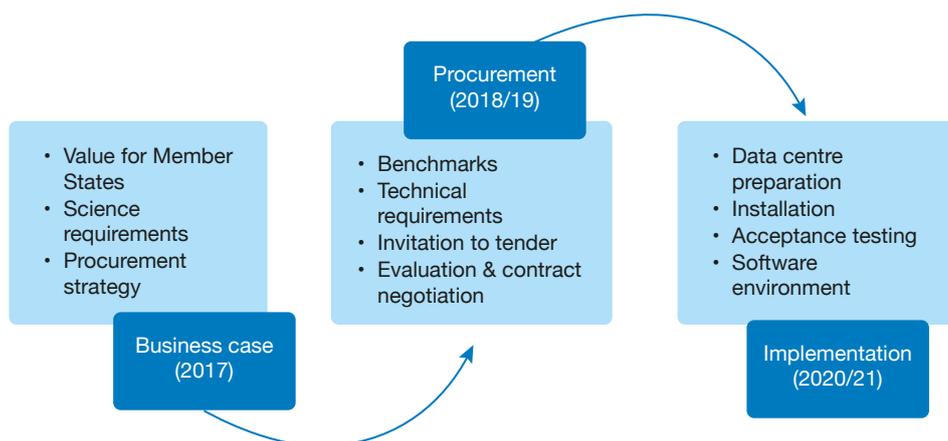
The goals set out in ECMWF’s Strategy for the period 2016–2025 include making skilful ensemble predictions of high-impact weather up to two weeks ahead and predicting large-scale patterns and regime transitions up to four weeks ahead and global-scale anomalies up to a year ahead. These ambitious goals will only be achievable with the appropriate high-performance computing capability.

In December 2017, ECMWF’s Council reviewed and approved the HPC2020 business case for an increased investment in computational resources. Recognising the crucial importance of HPC resources for the successful

delivery of the Strategy, Council approved an increase of about 75% in the HPC budget, which covers the cost of the HPC service contract and a contribution to the costs of the system’s electricity consumption for the envisaged four-year service period.

ECMWF’s procurement approach was to maximise the performance of its main applications within the available budget envelope. Hence, the performance benchmarks were based on running two distinct workflows relevant to ECMWF: a capability benchmark which simulates the workflow of the time-critical forecasts, including product generation, at potential future resolutions; and a capacity benchmark based on the typical research workflow, to gauge the system throughput for the anticipated research load. To approximate a realistic workflow, these benchmarks were composed of inter-dependent tasks, including output and reading from persistent storage of full operational datasets.

An invitation to tender for the provision of a high-performance computing facility was published in November 2018 and closed in March 2019. Following a review of the procurement outcome by Member State Committees, Council, in December 2019, authorised ECMWF’s Director-General to sign a contract with the successful tenderer, Atos UK Ltd. The new HPCF will be provided under a four-year service agreement and will deliver a performance increase of about five over the current system, based on the time-critical capability and capacity benchmarks. It will be installed in ECMWF’s new data centre in Bologna, Italy, and will run in parallel with the existing Cray HPCF until September 2021,



**FIGURE 1** The HPC2020 project has moved on from the business case and procurement phases to the implementation phase.

when the new Atos system will take over the provision of the operational service for a period of four years until autumn 2025.

## A new data centre for a new supercomputer

ECMWF's existing data centre in Shinfield Park, Reading, UK, which has served its purpose well for more than 40 years, will no longer be suitable to host future generations of supercomputers. Therefore, in June 2017 ECMWF Member States approved the proposal by the Italian Government and the Emilia Romagna Region to host ECMWF's new data centre in Bologna, Italy. The new data centre is being built on the site of the new Tecnopolo di Bologna campus, where the unused buildings and grounds of a former tobacco factory are being redeveloped (Figure 2).



**FIGURE 2** ECMWF's new data centre (artist's impression) at the Tecnopolo di Bologna, Italy. (Image: gmp von Gerkan, Marg & Partner)

The HPC2020 project is part of the wider Bologna data centre programme (BOND), which also includes the delivery of the new data centre. The time schedules for HPC2020 and the delivery of the data centre are intimately connected. They have been designed to enable the new HPCF to become operational before the contract for ECMWF's current HPCF expires, allowing the necessary flexibility to adapt the schedules as both projects develop.

With ECMWF's entire data centre operations moving to Bologna, the migration comprises much more than just HPC applications, such as ECMWF's Integrated Forecasting System (IFS). The migration project is managing the scientific application migration and the move of the hundreds of petabytes of data held in the Data Handling System.

## The new HPC2020 facility

The specification of the new system is based on ECMWF's long experience with HPC and the new requirements. Here we present some key concepts, the system configuration, and the software environment.

## General concepts

At a high level, there are some important concepts in the design:

- **HPC facility.** The project is to provide a complete HPC facility, and not just a new supercomputer. The requirements include the 24x7 hardware and software support, a full-time application analyst, and customisation of the data centre to support the machines.
- **Multiple clusters.** The ECMWF HPC workload is dominated by a high throughput of short-running jobs: 90% of the resources of the system are consumed by jobs that require fewer than 8,000 processor cores. This characteristic, combined with the flexibility of the ecFlow workload management, eliminates the need to have all resources in one large cluster. For many generations of HPC systems, ECMWF has therefore had a system with two self-sufficient clusters, allowing the operational service to be run even if one cluster fails or is shut down for maintenance. Splitting the compute resources also reduces contention on shared components, such as the job scheduler and network, making for a more reliable and manageable system. For HPC2020, the number of clusters is increased to four to further improve resilience. For instance, this makes it possible to upgrade one of the clusters to the latest software levels as a test, while still maintaining a resilient system for operations.
- **Separation of research and operational file systems.** The operational suites run to very tight schedules. Suites are carefully set up and tested during the transfer of research to operations (R2O) to meet the production schedule, and good I/O performance is a critical part of this. To avoid the possibility of a predictable operational job competing with an I/O-intensive job from another source, there are dedicated and separate file systems for operational and research work.
- **Multiple file systems.** Like having multiple compute clusters, having multiple file systems improves resilience and maintainability and limits contention for resources at scale. These considerations have been especially important for storage sub-systems. This is partly due to the design of the Lustre file system used, which has a single metadata server per file system, and partly due to disks being mechanical devices that can fail. All file systems are connected to all four clusters. This has the great benefit that a job can be scheduled to any cluster, but it does introduce a common point of failure for the entire system, as potentially a faulty file system could affect all clusters.
- **General purpose and interactive login nodes (GPIL).** The HPC system has always run different

types of workload, mainly parallel jobs that run on multiple nodes, but also jobs that only need one node or even one core. Dedicating an entire 128-core node to a job that only requires one processor core would clearly be a waste of resources, so work of this type is typically allocated to dedicated nodes where several jobs can efficiently share the node.

The ECMWF Linux clusters lxc, lxp and ecgate have, in the past, provided other locations to run this workload as well. With significantly increasing data volumes, it becomes increasingly undesirable to move large amounts of data to a different platform. Consequently, and because of a large overlap of applications, all of the resources for this work are being included in the new HPC system. In addition, because of the data volumes, we expect more interactive data analysis, visualisation and software development on the system. These activities will also run on a set of dedicated GPIL nodes.

- **Time critical storage hierarchy.** Solid-state disks (SSD) have become commonplace since ECMWF procured the last HPC system. They have better access times and lower latency. They are thus a valuable means of achieving high I/O performance in a small amount of storage space, especially when accessing small files. Unfortunately, however, they are still more expensive than traditional disk-based storage at the same capacity. The new HPCF therefore has a hierarchical storage design with two pools of SSD storage in addition to traditional disk storage pools. Each SSD pool is designed to hold

data generated by the operational forecast suites for a couple of days. After this time, the suite moves the data to storage pools with higher capacity, but lower performance.

- **Home file systems.** In addition to the high-performance parallel file systems, there is also a need for general storage space. In the current HPCF, the ‘home’ and ‘perm’ file systems on the HPCF are not visible from outside the system. In the new HPCF, the home and perm spaces will be common between the HPCF and other systems.

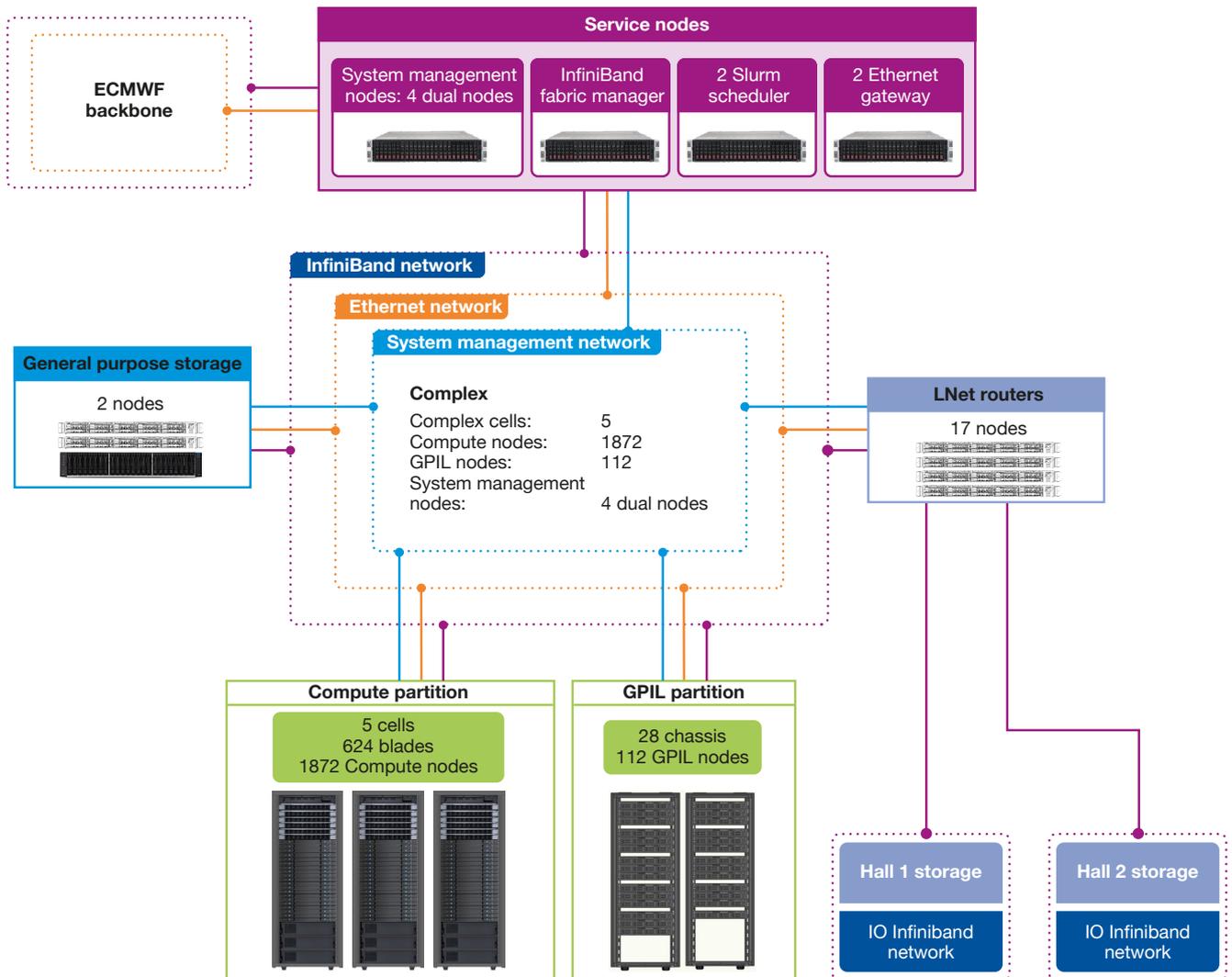
### Atos Sequana XH2000 system configuration

The main system from Atos is made up of four self-sufficient clusters, also called ‘complexes’ (Table 1). Each cluster is connected to all the high-performance storage. There are two type of nodes that run user workloads: ‘compute nodes’ for parallel jobs, and ‘GPIL nodes’ for general purpose and interactive workloads. Other nodes have special functions, such as managing the system, running the scheduler and connecting to the storage. See Figure 3 for a schematic representation of a single cluster.

The 7,488 compute nodes form the bulk of the system and are located in Bull Sequana XH2000 high-density racks. Each rack has 32 blades (Figure 4), with three dual socket nodes per blade, and uses Direct Liquid Cooling to extract the heat from the processors and memory to a liquid-cooling loop in the rack. This cooling method allows the compute nodes to be densely

	Cray XC40	Atos Sequana XH2000
Clusters	2	4
Processor type	Intel Broadwell	AMD Epyc Rome
Cores	18 cores/socket, 36 cores/node	64 cores/socket, 128 cores/node
Base frequency	2.10 GHz	2.25 GHz (compute) 2.5 GHz (GPIL)
Memory/node	128 GiB (compute)	256 GiB (compute) 512 GiB (GPIL)
Total number of compute nodes	7,020	7,488
General purpose ‘GPIL’ nodes	208	448
Total memory	0.9 PiB	2.19 PiB
Total number of cores	260,208	1,038,848
Water-cooled racks	40	80
Air-cooled racks	0	10

**TABLE 1** The Cray XC40 system and the new Atos Sequana XH2000 system.



**FIGURE 3** Overview of an HPC cluster. There are four of these in the system.

packed and the number of racks to be minimised. A heat exchanger at the bottom of the rack connects to the building’s water cooling system. The cooling system in the rack allows water to come in at up to 40°C. This provides plenty of opportunity for cooling using just the outside air, without any need for energy-consuming chillers. This lowers the amount of electricity required by the system and improves overall efficiency.

The second type of node in the system is the GPIL nodes, which run at a slightly higher frequency and have more memory per node than the compute nodes. The different node type allows Atos to include a 1 TB solid-state disk in each node for local high-performance storage. The racks with GPIL nodes are less densely populated than the compute node racks and can therefore use a simpler cooling infrastructure. Fans remove the heat from the GPIL nodes and blow the hot air out through the water-cooled radiator ‘rear-door’ exchanger. While this is a less efficient cooling method compared to direct liquid cooling, and while it cannot handle the same kind of heat load or



**FIGURE 4** Sequana XH2000 AMD compute blade with three nodes.

cooling water temperature, the use of standard servers facilitates maintenance and is less costly.

ECMWF’s storage requirements have always been a large part of the requirements for any system. The storage of the new system, as outlined in Table 2, uses the Lustre parallel file system and is provided by DataDirect

Storage			
	Time critical – short term	Time critical – working	Research
IO Fabric racks	1 (shared) per hall, 2 in total		
Storage racks	3 per hall		9 per hall
Total racks (air cooled)	13 per hall, 26 in total		
Storage	DDN ES200NV	DDN ES7990	DDN ES7990
Usable storage	1.4 PB	12 PB	77 PB
Bandwidth	614 GB/s	224 GB/s	1,570 GB/s

**TABLE 2** High-performance storage.

Networks (DDN) EXAScaler appliances. The use of the Lustre file system makes the solution quite like the storage on the current system. However, the newer Lustre version includes features such as ‘Data on Metadata’ to improve performance. Storage arrays and Lustre, which are primarily designed for handling large files, can be quite slow when handling lots of small files. The new metadata functionality allows small files to be stored on the controllers, rather than on the main disk storage arrays, which will lead to significant performance improvements.

A key differentiating factor between a high-performance computer and a lot of individual computers in a rack is the network that connects the nodes together and allows them to efficiently exchange information. The Atos system uses a state-of-the-art ‘High Data Rate’ (HDR) InfiniBand network produced by Mellanox. The HDR technology boosts application performance by keeping latencies (the time for a message to go from one node to another) down to less than a microsecond and enabling each cluster to have a bisection bandwidth of more than 300 terabits per second (corresponding to the simultaneous streaming of 37.5 million HD movies).

The compute nodes in a cluster are grouped into ‘Cells’ of four Sequana racks. Each cell has ‘leaf’ and ‘spine’ switches. Each compute node is connected to a leaf switch, and each leaf switch is connected to every spine switch in the cell, so that all the 384 nodes in a cell are connected in a non-blocking ‘fat-tree’ network. Each of the spine switches has a connection to the corresponding spine in every other cell, yielding a ‘full-bandwidth Dragonfly+’ topology. The GPIL nodes are connected to the high-performance interconnect so that they have full access to the high-performance parallel file system.

As well as the networking for the compute and storage traffic, network connections to the rest of ECMWF are needed. Gateway routers connect from the high-performance interconnect to the four independent networks in the new ECMWF data centre network, enabling direct access from other machines to nodes in the HPC system.

## Software environment

The ‘Atos Bull Supercomputing Suite’ is the Atos software suite for HPC environments. It provides a standard environment based on a recent Linux distribution (RedHat Linux RHEL 7) supported by Mellanox InfiniBand drivers, the Slurm scheduler from SchedMD, the Lustre parallel file system from DDN, and Intel compilers. In addition, PGI and AMD compiler suites and development tools will be available.

For in-depth profiling and debugging, the ARM Forge product can be used. This software package includes a parallel debugger (DDT) and a performance analysis tool (MAP). The Lightweight Profiler (Atos LWP) is supplied as well. It provides global and per-process statistics. LWP comes with the Bull binding checker to allow users to confirm that the process binding they are using is as expected. This is an essential function to obtain better CPU performance with large core count, multi-core processors and hyperthreading.

Containerised software can be run through Slurm using standard commands. Atos provides a complete framework based on the Singularity software package as well as a Slurm plugin for submission and accounting, and a tool to help container creation by users.

## Implementation plan

Unlike in previous HPC migrations, where the project has been responsible for delivering the system and migrating the applications, this time the work has been split in two. This reflects the fact that the migration is a much bigger project than usual since it involves additional clusters and gateway systems and the new interactive environment. The HPC project provides the systems and a migration project in the BOND programme is responsible for the application migration. Both projects are expected to be complete by September 2021 (Figure 5).

As part of the HPC service contract, Atos will supply a full-time application support analyst, based at Shinfield Park, to help users and developers port code and make

Component	Description
Operating system	Red Hat Enterprise Linux
Main compiler suite	Intel Parallel Studio XE Cluster Edition
Secondary compiler suites	<ul style="list-style-type: none"> <li>• PGI compilers and development tools</li> <li>• AMD AOCC compilers and development tools</li> </ul>
Profiler / debug tool	ARM Forge Professional
Batch Scheduler	Slurm

**TABLE 3** Main software components of the new HPCF.

the best use of the system. A full training programme is being developed and will be available to users and developers during the migration.

### Test and Early Migration System

To enable porting and testing work to start as quickly as possible, a temporary 60-node ‘Test and Early Migration System’ (TEMS) has been installed in the Shinfield Park data centre. The system, which has been available to users since mid-March 2020, will make it possible to port and test all ECMWF libraries, utilities and applications, though with limits on the size and number of jobs that can be run.

Once the first main components of the new HPCF have been installed in Bologna, the Reading system will be dismantled and shipped to Italy, where its components will be reused in one of the later clusters. The configuration of the initial TEMS system is shown in Table 4. In this system, unlike in the main system, compute nodes and GPIL nodes have exactly the same specification. As the GPIL nodes are air-cooled, the TEMS could be installed in ECMWF’s existing data centre without the need for expensive work to install water-cooling infrastructure.

Since the system configuration is relatively small,

access is limited to ECMWF application migration teams and Member State users by invitation only. Interested Member States users are advised to contact User Support via the Service Desk.

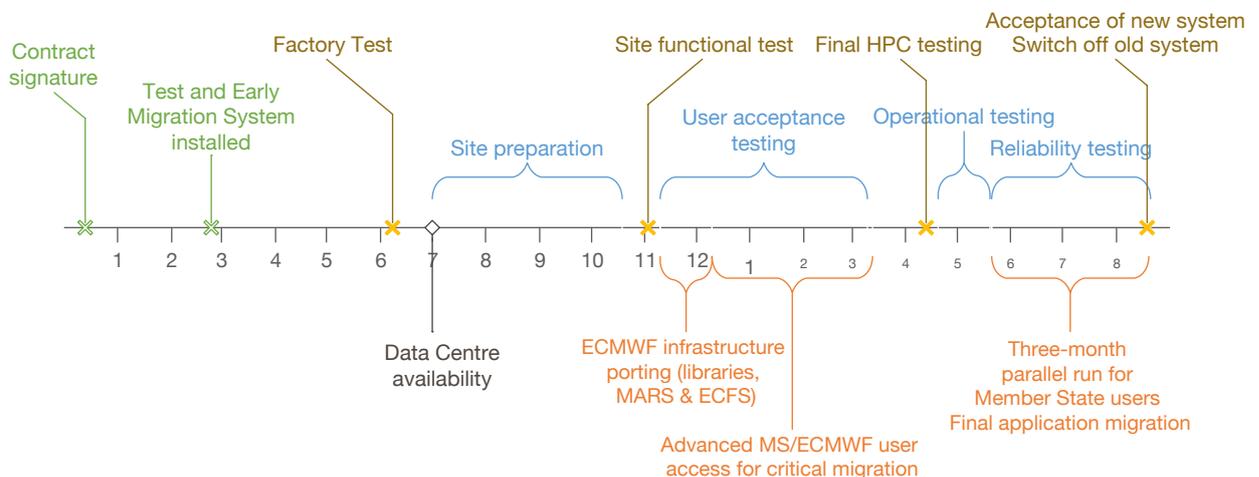
A smaller test system will be installed in Bologna to provide, over the duration of the contract, a platform for testing new software and procedures.

### Acceptance process

The acceptance process for an HPCF is complex. It comprises tests to confirm that the functionality and performance of the system meet ECMWF’s expectations and the vendor’s contractual commitments, as well as reliability testing. Learning from the experience of previous HPC migrations, the implementation timeline and acceptance test procedure allow for more time for setup and the migration of applications to the new system.

The test steps are:

- **Factory Test** – A substantial portion of the main system is built in the vendor factory. ECMWF runs a 5-day functional test to verify that the system meets the contracted performance and functionality. This



**FIGURE 5** Implementation phase timeline. Timings may shift depending on possible impacts of the current COVID-19 pandemic on the BOND programme.

Component	Quantity	Description
Compute node	40	2 x AMD 'Rome', 64 cores/socket, 512 GiB, 1 TB SSD
GPIL node	20	2 x AMD 'Rome', 64 cores/socket, 512 GiB, 1 TB SSD
General purpose storage	1	NetApp E2800 storage device with 64 TB
High performance storage	1	DDN ES7990 EDR appliance with 450 TB
High performance networking		Mellanox InfiniBand HDR 200 Gb/s

**TABLE 4** Test and Early Migration System as installed in Reading.

provides an opportunity for ECMWF to identify any issues early in the process. During testing in the factory, the vendor will have plenty of people on hand to see and resolve any issues that might be found. If the system passes this test, it can be shipped to the Bologna data centre.

- **Site Functional Test** – After a substantial part of the system has been installed in Italy, a functional test is run to confirm that it still works after shipping, to conduct any tests that could not be carried out in the factory, and to ascertain whether any important bugs identified in the Factory Test have been resolved. This test determines if the system is ready for user access.
- **User Acceptance Test** – This test is the first of the reliability tests. To pass it, Atos must demonstrate that the system meets specific availability targets. During this test phase, Atos will gradually build the complete final system, going from one cluster to all four, and it will use this time to resolve any outstanding issues. The first user access to the system will be allowed during this period, and the BOND project will use this time to migrate the applications. User access is expected to be possible by the end of 2020 or early in 2021.
- **Operational Test** – The operational test comprises two parts: the final functional tests, which will verify that the system fully confirms to the committed performance levels and functional specifications; and a 30-day operational reliability test, in which Atos has to demonstrate that the system fully meets the availability and reliability requirements. During this test period, the BOND migration project is expected to run the time-critical operational suite in a real-time test to prove that we can meet the forecast delivery schedule.
- **Reliability Test** – The last test is the final verification of the system’s reliability and availability. During this period, ECMWF will disseminate test data to external users so that they can test their workflows and prepare for the transfer of the operational workload to the new HPCF. ECMWF and Member State users will port the remaining research workload during this period.

## Outlook

From 2021 onwards, the new Atos Sequana HPCF will support ECMWF’s operational and research activities for the following four years.

In previous HPC procurements, computing requirements were driven by a resolution upgrade of the high-resolution forecast (HRES) early in the contract period, followed by other forecast improvements later in the period. This led to a two-phase implementation approach: first an initial installation with sufficient performance to implement the HRES resolution upgrade, followed by a mid-term upgrade.

The Strategy 2016–2025 called for a different approach as it puts the focus on a significant increase in the resolution of ensemble forecasts (ENS). This requires a significant upgrade in computational performance, both in terms of capability and capacity, from the start, in one big step rather than two smaller steps. The upgrade of the ensemble forecast horizontal resolution from currently 18 km to 9 km (or in any case to the order of 10 km, the exact resolution depending on the testing that will take place) is expected to be implemented shortly after the new HPCF has become operational. Hence, the HPC2020 contract is a four-year service contract with no contracted mid-term upgrade.

However, the HPC2020 contract includes options for ECMWF to enhance its HPC resources during the term of the contract. ECMWF could potentially secure additional funding to run new services, including funding of additional HPC requirements, under agreements to be concluded in the future. This may require enhancement of the HPC facility and a significant increase in capacity. In addition, in order to keep the configuration of the system in line with changing requirements, potential smaller enhancements or adaptations of the system are envisaged. These could include enhancements of the computational performance by adding further compute nodes or storage infrastructure or the introduction of other hardware, such as general purpose GPUs, to support the continued development of ECMWF’s applications using state-of-the-art HPC and AI technologies.

## ECMWF publications

(see [www.ecmwf.int/en/research/publications](http://www.ecmwf.int/en/research/publications))

### Technical Memoranda

- 861 **Geer, A.J., M. Bonavita & M. Hamrud**: All-sky microwave radiances assimilated with an ensemble Kalman filter. *February 2020*
- 860 **Hewson, T.**: Use and Verification of ECMWF products in Member and Co-operating States (2019). *March 2020*
- 859 **Simmons, A., C. Soci, J. Nicolas, B. Bell, P. Berrisford, R. Dragani, J. Flemming, L. Haimberger, S. Healy, H. Hersbach, A. Horányi, A. Inness, J. Munoz-Sabater, R. Radu & D. Schepers**: Global stratospheric temperature bias and other stratospheric aspects of ERA5 and ERA5.1. *January 2020*
- 857 **Bauer, P., T. Quintino, N. Wedi, A. Bonanni, M. Chrust, W. Deconinck, M. Diamantakis, P. Düben, S. English, J. Flemming, P. Gillies, I. Hadade, J. Hawkes, M. Hawkins, O. Iffrig, C. Kuehnlein, M. Lange, P. Lean, O. Marsden, A. Mueller, S. Saarinen, D. Sarmany, M. Sleigh, S. Smart, P. Smolarkiewicz, D. Thiemert, G. Tumolo, C. Weihrauch & C. Zanna**: The ECMWF Scalability Programme: Progress and Plans. *February 2020*
- 856 **Massart, S.**: A new hybrid formulation for the background error covariance in the IFS: evaluation. *December 2019*

### EUMETSAT/ECMWF Fellowship Programme Research Reports

- 52 **Burrows, C.**: Assimilation of radiance observations from geostationary satellites: third year report. *March 2020*

## ECMWF Calendar 2020/21

May 12	Online training: Data manipulation and visualisation – processing and visualising ECMWF ensemble data	Oct 5–8	Training course: Use and interpretation of ECMWF products
May 14	Online training: Data manipulation and visualisation – interactive analysis of ECMWF data	Oct 12–14	Scientific Advisory Committee
Jun 1–4	Using ECMWF's Forecasts (UEF)	Oct 14	Advisory Committee of Co-operating States
Jun 23–24	Council	Oct 15–16	Technical Advisory Committee
Sep 1–4	Annual Seminar: Numerical methods for atmospheric and oceanic modelling – recent advances and future prospects	Oct 20	Policy Advisory Committee
Sep 14–18	Workshop on HPC in meteorology (Bologna)	Nov 2–5	ECMWF/EUMETSAT NWP SAF workshop on the treatment of random and systematic errors in satellite data assimilation for NWP
Sep 28–30	Workshop on operational measurements for ocean waves	Dec 8–9	Council
Oct 5–8	ECMWF–ESA workshop on machine learning for Earth observation and prediction	Jan 25–29	International workshop on ocean data assimilation methods

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For any query, issue or feedback, please contact ECMWF's Service Desk at [servicedesk@ecmwf.int](mailto:servicedesk@ecmwf.int).

Please specify whether your query is related to forecast products, computing and archiving services, the installation of a software package, access to ECMWF data, or any other issue. The more precise you are, the more quickly we will be able to deal with your query.



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